

CU Investment Solutions LLC
Statements of Financial Condition

	January 31,		September 30,
	2024	2023	2023
	<i>(unaudited)</i>	<i>(unaudited)</i>	<i>(audited)</i>
Assets			
Cash	\$ 1,160,729	\$ 603,081	\$ 745,369
Investments, at fair value	1,613,759	2,769,628	1,796,397
Receivables:			
Commissions	160,069	30,858	79,990
Advisory Fees	21,498	17,032	8,632
Accrued Interest	5,002	10,290	8,359
Other	607,210	34,213	7,108
Total Receivables	<u>793,779</u>	<u>92,393</u>	<u>104,089</u>
Operating Lease	41,490	92,926	58,819
Other Assets	67,883	74,238	46,299
	<u>109,373</u>	<u>167,165</u>	<u>105,117</u>
Total Assets	<u><u>\$ 3,677,641</u></u>	<u><u>\$ 3,632,267</u></u>	<u><u>\$ 2,750,972</u></u>
Liabilities and Equity			
Liabilities:			
Deferred Revenue	\$ 1,375,000	\$ -	\$ -
Commissions Payable	80,041	20,062	55,159
Accrued Liabilities	277,218	241,710	368,396
Operating Lease Liability	41,490	92,926	58,819
Accounts Payable	33,562	20,298	30,828
Total Liabilities	<u>1,807,312</u>	<u>374,996</u>	<u>513,202</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	889,859	2,276,800	1,257,300
Total Members' Equity	<u>1,870,329</u>	<u>3,257,270</u>	<u>2,237,770</u>
Total Liabilities and Members' Equity	<u><u>\$ 3,677,641</u></u>	<u><u>\$ 3,632,267</u></u>	<u><u>\$ 2,750,972</u></u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended January 31,		Four Months Ended January 31,	
	2024	2023	2024	2023
Revenue				
Corporate Agent Program	\$ 125,697	\$ 34,324	\$ 450,302	\$ 652,461
Platform Fees	125,000	-	125,000	-
Placement Fees	-	-	150,000	-
Financial Product Sales	34,372	-	65,485	5,200
Advisory and Other Income	43,032	43,032	77,328	77,328
Net Interest Income	1,919	4,886	8,863	19,523
Total Revenue	330,020	82,242	876,978	754,512
Net Gains (Losses) on Investment Securities	14,027	17,245	61,377	25,227
Expenses				
Salaries and Benefits	\$ 115,480	\$ 123,393	\$ 446,312	\$ 448,851
Commissions	88,511	20,670	471,868	436,924
Professional and Outside Services	37,757	38,120	226,510	192,746
Office Occupancy and Administration	7,187	6,987	29,189	28,117
Data Processing	10,211	7,127	34,227	39,122
Memberships and Registrations	2,036	1,315	14,183	13,607
Travel and Incidentals	4,666	1,569	8,091	3,424
Insurance and Other	31,211	9,995	75,416	40,789
Total Expenses	297,060	209,176	1,305,796	1,203,579
Net Income/(Loss)	\$ 46,987	\$ (109,690)	\$ (367,441)	\$ (423,839)