

**CU Investment Solutions LLC**  
**Statements of Financial Condition**

	June 30		September 30,
	2020 <i>(unaudited)</i>	2019 <i>(audited)</i>	2019 <i>(audited)</i>
<b>Assets</b>			
Cash	\$ 1,227,526	\$528,435	\$ 2,042,126
Investments, at fair market value	1,257,859	989,621	-
Receivables:			
Commissions	1,312,642	30,520	58,547
Advisory Fees	13,098	8,632	4,466
Other	29,110	1,138	-
Accrued Interest	4,429	3,011	-
Total Receivables	1,359,279	43,302	63,013
Fixed Assets	1,527	-	-
Other Assets	48,562	44,370	38,959
	50,089	44,370	38,959
<b>Total Assets</b>	\$ 3,894,753	\$ 1,605,728	\$ 2,144,098
<b>Liabilities and Equity</b>			
Liabilities:			
Commissions Payable	\$ 928,932	\$ 115,615	\$ 220,574
Deferred Revenue	-	-	375,000
Accounts Payable	10,870	6,876	26,873
Accrued Liabilities	194,549	256,850	182,925
Total Liabilities	1,134,351	379,340	805,372
Members' Equity:			
Members' Shares	900,000	800,000	800,000
Member Paid-In Capital	80,470	-	-
Retained Earnings	1,779,931	426,388	538,726
Total Members' Equity	2,760,401	1,226,388	1,338,726
<b>Total Liabilities and Members' Equity</b>	\$ 3,894,753	\$ 1,605,728	\$ 2,144,098

**CU Investment Solutions LLC**  
**Statement of Income (unaudited)**

	One Month Ended		Nine Months Ended	
	June 30		June 30	
	2020	2019	2020	2019
<b>Revenue</b>				
Corporate Agent Program	\$ 1,259,965	\$171,440	\$ 5,204,341	\$ 1,431,008
Financial Product Sales	90,250	98,120	798,293	546,799
Platform Fee Revenue	41,667	41,667	375,000	375,000
Advisory and Other Income	8,632	9,770	133,163	151,140
Net Interest Income	1,360	1,196	8,136	11,929
<b>Total Revenue</b>	<b>1,401,873</b>	<b>322,192</b>	<b>6,518,932</b>	<b>2,515,876</b>
Net Gains (Losses) on Investment Securities	1,338	1,180	23,093	10,037
<b>Expenses</b>				
Salaries and Benefits	\$ 100,712	\$ 84,937	\$ 828,051	\$ 836,280
Commissions	968,120	133,981	3,989,290	1,127,482
Professional and Outside Services	33,009	26,592	267,293	244,193
Office Occupancy and Administration	6,840	7,729	66,013	69,601
Data Processing	5,148	4,991	47,860	45,215
Memberships and Registrations	4,616	3,132	29,059	25,229
Travel and Incidentals	59	478	11,761	12,055
Insurance and Other	4,689	4,279	61,492	60,056
<b>Total Expenses</b>	<b>1,123,194</b>	<b>266,119</b>	<b>5,300,819</b>	<b>2,420,112</b>
<b>Net Income/(Loss)</b>	<b>\$ 280,017</b>	<b>\$ 57,253</b>	<b>\$ 1,241,206</b>	<b>\$ 105,801</b>