

CU Investment Solutions LLC
Statements of Financial Condition

	July 31		September 30,
	2020 <i>(unaudited)</i>	2019 <i>(audited)</i>	2019 <i>(audited)</i>
Assets			
Cash	\$ 1,254,553	\$889,856	\$ 2,042,126
Investments, at fair market value	1,262,072	990,919	-
Receivables:			
Commissions	886,658	63,633	58,547
Advisory Fees	17,032	17,032	4,466
Other	25,667	99,312	-
Accrued Interest	5,771	3,831	-
Total Receivables	935,127	183,807	63,013
Fixed Assets	1,421	-	-
Other Assets	32,874	29,105	38,959
	34,294	29,105	38,959
Total Assets	\$ 3,486,047	\$ 2,093,687	\$ 2,144,098
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 415,911	\$ 151,556	\$ 220,574
Deferred Revenue	-	458,333	375,000
Accounts Payable	21,403	11,549	26,873
Accrued Liabilities	203,527	191,165	182,925
Total Liabilities	640,841	812,603	805,372
Members' Equity:			
Members' Shares	900,000	800,000	800,000
Member Paid-In Capital	80,470	-	-
Retained Earnings	1,864,737	481,084	538,726
Total Members' Equity	2,845,207	1,281,084	1,338,726
Total Liabilities and Members' Equity	\$ 3,486,047	\$ 2,093,687	\$ 2,144,098

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended		Ten Months Ended	
	July 31		July 31	
	2020	2019	2020	2019
Revenue				
Corporate Agent Program	\$ 553,448	\$218,985	\$ 5,757,789	\$ 1,649,993
Financial Product Sales	105,644	67,399	903,937	614,198
Platform Fee Revenue	-	41,667	375,000	416,667
Advisory and Other Income	17,032	22,745	150,195	173,885
Net Interest Income	1,580	1,232	9,716	13,161
Total Revenue	677,705	\$352,028	7,196,636	2,867,904
Net Gains (Losses) on Investment Securities	4,130	1,191	27,223	11,228
Expenses				
Salaries and Benefits	\$ 105,905	\$ 84,921	\$ 933,956	\$ 921,202
Commissions	443,341	166,128	4,432,631	1,293,610
Professional and Outside Services	24,782	23,632	292,075	267,825
Office Occupancy and Administration	7,059	10,770	73,072	80,371
Data Processing	6,098	5,390	53,958	50,604
Memberships and Registrations	6,321	2,877	35,380	28,106
Travel and Incidentals	85	27	11,845	12,082
Insurance and Other	3,439	4,779	64,931	64,835
Total Expenses	597,029	298,523	5,897,848	2,718,635
Net Income/(Loss)	<u>\$ 84,806</u>	<u>\$ 54,696</u>	<u>\$ 1,326,011</u>	<u>\$ 160,497</u>