

**CU Investment Solutions LLC**  
**Statements of Financial Condition**

	August 31		September 30,
	2020 <i>(unaudited)</i>	2019 <i>(audited)</i>	2019 <i>(audited)</i>
<b>Assets</b>			
Cash	\$ 1,506,408	\$992,136	\$ 2,042,126
Investments, at fair market value	1,263,055	991,670	-
Receivables:			
Commissions	676,200	45,960	58,547
Advisory Fees	8,632	8,632	4,466
Other	248	1,423	-
Accrued Interest	4,954	4,642	-
Total Receivables	690,033	60,657	63,013
Fixed Assets	1,376	-	-
Other Assets	43,383	41,068	38,959
	44,759	41,068	38,959
<b>Total Assets</b>	\$ 3,504,255	\$ 2,085,531	\$ 2,144,098
<b>Liabilities and Equity</b>			
Liabilities:			
Commissions Payable	\$ 388,744	\$ 126,625	\$ 220,574
Deferred Revenue	-	416,667	375,000
Accounts Payable	56,238	7,927	26,873
Accrued Liabilities	206,902	203,153	182,925
Total Liabilities	651,884	754,372	805,372
Members' Equity:			
Members' Shares	900,000	800,000	800,000
Member Paid-In Capital	80,470	-	-
Retained Earnings	1,871,901	531,159	538,726
Total Members' Equity	2,852,371	1,331,159	1,338,726
<b>Total Liabilities and Members' Equity</b>	\$ 3,504,255	\$ 2,085,531	\$ 2,144,098

**CU Investment Solutions LLC**  
**Statement of Income (unaudited)**

	One Month Ended August 31		Eleven Months Ended August 31	
	2020	2019	2020	2019
<b>Revenue</b>				
Corporate Agent Program	\$ 515,133	\$172,985	\$ 6,272,922	\$ 1,822,978
Financial Product Sales	30,686	104,500	934,623	718,698
Platform Fee Revenue	-	41,667	375,000	458,333
Advisory and Other Income	8,632	10,055	158,827	183,940
Net Interest Income	1,643	1,232	11,358	14,394
<b>Total Revenue</b>	556,093	\$330,439	7,752,730	3,198,343
Net Gains (Losses) on Investment Securities	900	646	28,123	11,873
<b>Expenses</b>				
Salaries and Benefits	\$ 100,326	\$ 83,403	\$ 1,034,282	\$ 1,004,604
Commissions	403,831	146,059	4,836,462	1,439,669
Professional and Outside Services	26,362	23,628	318,437	291,452
Office Occupancy and Administration	6,713	8,904	79,785	89,276
Data Processing	6,226	5,648	60,185	56,252
Memberships and Registrations	3,353	3,059	38,733	31,165
Travel and Incidentals	79	2,987	11,925	15,069
Insurance and Other	2,939	7,322	67,870	72,157
<b>Total Expenses</b>	549,830	281,010	6,447,678	2,999,644
<b>Net Income/(Loss)</b>	<u>\$ 7,164</u>	<u>\$ 50,075</u>	<u>\$ 1,333,175</u>	<u>\$ 210,572</u>