

CU Investment Solutions LLC
Statements of Financial Condition

	October 31,		September 30,
	2020 <i>(unaudited)</i>	2019 <i>(audited)</i>	2020 <i>(unaudited)</i>
Assets			
Cash	\$ 1,602,592	\$1,847,527	\$ 1,513,299
Investments, at fair value	1,261,758	-	1,262,542
Receivables:			
Commissions	922,250	72,716	641,124
Advisory Fees	17,032	17,032	8,632
Other	248	2,400	248
Accrued Interest	5,725	-	4,317
Total Receivables	<u>945,255</u>	<u>92,148</u>	<u>654,321</u>
Operating Lease	103,413	-	108,634
Other Assets	68,223	64,075	37,144
	<u>171,635</u>	<u>64,075</u>	<u>145,778</u>
Total Assets	<u>\$ 3,981,240</u>	<u>\$ 2,003,750</u>	<u>\$ 3,575,939</u>
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 516,822	\$ 209,433	\$ 340,861
Deferred Revenue	-	333,333	-
Accounts Payable	44,039	21,264	35,190
Accrued Liabilities	327,335	60,753	274,477
Operating Lease Liability	103,413	-	107,303
Total Liabilities	<u>991,608</u>	<u>624,783</u>	<u>757,831</u>
Members' Equity:			
Members' Shares	900,000	800,000	900,000
Member Paid-In Capital	80,470	-	80,470
Retained Earnings	2,009,162	578,967	1,837,639
Total Members' Equity	<u>2,989,632</u>	<u>1,378,967</u>	<u>2,818,109</u>
Total Liabilities and Members' Equity	<u>\$ 3,981,240</u>	<u>\$ 2,003,750</u>	<u>\$ 3,575,939</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended	
	October 31	
	2020	2019
Revenue		
Corporate Agent Program	\$ 694,278	\$ 296,895
Financial Product Sales	189,150	49,893
Platform Fee Revenue	-	41,667
Advisory and Other Income	17,032	19,431
Net Interest Income	1,633	811
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Total Revenue	902,093	408,697
Net Gains (Losses) on Investment Securities	(868)	-
Expenses		
Salaries and Benefits	\$ 97,764	\$ 85,215
Commissions	561,761	223,156
Professional and Outside Services	41,132	34,867
Office Occupancy and Administration	6,877	8,439
Data Processing	6,001	5,148
Memberships and Registrations	5,758	3,312
Travel and Incidentals	212	1,280
Insurance and Other	10,197	7,038
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Total Expenses	729,702	368,455
Net Income/(Loss)	<u>\$ 171,524</u>	<u>\$ 40,242</u>