

CU Investment Solutions LLC
Statements of Financial Condition

	December 31,		September 30,
	2020	2019	2020
	<i>(unaudited)</i>	<i>(unaudited)</i>	<i>(audited)</i>
Assets			
Cash	\$ 1,537,795	\$1,953,064	\$ 1,513,299
Investments, at fair value	1,258,742	-	1,262,542
Receivables:			
Commissions	857,719	26,144	641,124
Advisory Fees	8,632	8,632	8,632
Other	-	625	248
Accrued Interest	8,497	-	4,317
Total Receivables	<u>874,848</u>	<u>35,401</u>	<u>654,321</u>
Operating Lease	95,303	-	108,634
Other Assets	86,235	86,353	37,144
	<u>181,537</u>	<u>86,353</u>	<u>145,778</u>
Total Assets	<u>\$ 3,852,922</u>	<u>\$ 2,074,818</u>	<u>\$ 3,575,939</u>
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 492,243	\$ 235,934	\$ 340,861
Deferred Revenue	-	250,000	-
Accounts Payable	24,220	14,951	35,190
Accrued Liabilities	134,889	76,494	274,477
Operating Lease Liability	95,302	-	107,303
Total Liabilities	<u>746,654</u>	<u>577,378</u>	<u>757,831</u>
Members' Equity:			
Members' Shares	900,000	800,000	900,000
Member Paid-In Capital	80,470	-	80,470
Retained Earnings	2,125,798	697,440	1,837,639
Total Members' Equity	<u>3,106,268</u>	<u>1,497,440</u>	<u>2,818,109</u>
Total Liabilities and Members' Equity	<u>\$ 3,852,922</u>	<u>\$ 2,074,818</u>	<u>\$ 3,575,939</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended December 31		Three Months Ended December 31	
	2020	2019	2020	2019
Revenue				
Corporate Agent Program	\$ 667,192	\$ 326,083	\$ 2,084,667	\$ 895,177
Financial Product Sales	76,463	70,308	312,802	228,018
Platform Fee Revenue	-	41,667	-	125,000
Advisory and Other Income	8,632	9,257	34,296	37,320
Net Interest Income	1,633	489	4,847	2,072
Total Revenue	753,919	447,802	2,436,612	1,287,587
Net Gains (Losses) on Investment Securities	(1,250)	-	(4,048)	-
Expenses				
Salaries and Benefits	\$ 101,902	\$ 84,937	\$ 306,213	\$ 255,007
Commissions	519,106	253,042	1,637,473	694,720
Professional and Outside Services	30,543	27,380	116,534	100,410
Office Occupancy and Administration	7,229	7,605	22,286	23,975
Data Processing	6,050	5,803	18,053	16,099
Memberships and Registrations	3,703	3,279	13,326	9,536
Travel and Incidentals	76	4,759	392	7,723
Insurance and Other	10,590	7,320	30,127	21,400
Total Expenses	679,200	394,126	2,144,405	1,128,872
Net Income/(Loss)	\$ 73,470	\$ 53,677	\$ 288,159	\$ 158,715