

CU Investment Solutions LLC
Statements of Financial Condition

	January 31,		September 30,
	2021	2020	2020
	<i>(unaudited)</i>	<i>(unaudited)</i>	<i>(audited)</i>
Assets			
Cash	\$ 2,016,917	\$1,630,167	\$ 1,513,299
Investments, at fair value	1,010,091	248,441	1,262,542
Receivables:			
Commissions	954,487	18,839	641,124
Advisory Fees	17,032	17,032	8,632
Other	34,116	34,629	248
Accrued Interest	6,598	-	4,317
Total Receivables	<u>1,012,234</u>	<u>70,500</u>	<u>654,321</u>
Operating Lease	91,223	-	108,634
Other Assets	63,209	62,265	37,144
	<u>154,433</u>	<u>62,265</u>	<u>145,778</u>
Total Assets	<u>\$ 4,193,675</u>	<u>\$ 2,011,373</u>	<u>\$ 3,575,939</u>
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 600,029	\$ 145,061	\$ 340,861
Deferred Revenue	-	208,333	-
Accounts Payable	26,622	22,090	35,190
Accrued Liabilities	160,218	78,478	274,477
Operating Lease Liability	91,223	-	107,303
Total Liabilities	<u>878,093</u>	<u>453,962</u>	<u>757,831</u>
Members' Equity:			
Members' Shares	900,000	800,000	900,000
Member Paid-In Capital	80,470	-	80,470
Retained Earnings	2,335,112	757,412	1,837,639
Total Members' Equity	<u>3,315,582</u>	<u>1,557,412</u>	<u>2,818,109</u>
Total Liabilities and Members' Equity	<u>\$ 4,193,675</u>	<u>\$ 2,011,373</u>	<u>\$ 3,575,939</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended January 31		Four Months Ended January 31	
	2021	2020	2021	2020
Revenue				
Corporate Agent Program	\$ 880,680	\$ 229,580	\$ 2,965,347	\$ 1,124,757
Financial Product Sales	77,282	32,590	390,084	260,608
Platform Fee Revenue	-	41,667	-	166,667
Advisory and Other Income	43,032	43,132	77,328	80,452
Net Interest Income	1,450	465	6,297	2,537
Total Revenue	1,002,444	347,434	3,439,056	1,635,020
Net Gains (Losses) on Investment Securities	(723)	1,555	(4,770)	1,555
Expenses				
Salaries and Benefits	\$ 106,733	\$ 89,250	\$ 412,946	\$ 344,257
Commissions	631,823	154,491	2,269,296	849,211
Professional and Outside Services	28,388	23,959	144,922	124,369
Office Occupancy and Administration	7,324	6,837	29,610	30,812
Data Processing	6,001	5,148	24,055	21,247
Memberships and Registrations	2,837	1,963	16,164	11,500
Travel and Incidentals	640	50	1,032	7,774
Insurance and Other	8,661	7,320	38,789	28,720
Total Expenses	792,407	289,017	2,936,813	1,417,890
Net Income/(Loss)	\$ 209,314	\$ 59,971	\$ 497,473	\$ 218,686