

CU Investment Solutions LLC
Statements of Financial Condition

	February 28,		September 30,
	2021	2020	2020
	<i>(unaudited)</i>	<i>(unaudited)</i>	<i>(audited)</i>
Assets			
Cash	\$ 2,110,153	\$1,577,670	\$ 1,513,299
Investments, at fair value	1,008,019	498,703	1,262,542
Receivables:			
Commissions	1,194,051	109,109	641,124
Advisory Fees	8,632	8,632	8,632
Other	1,308	1,713	248
Accrued Interest	5,430	294	4,317
Total Receivables	<u>1,209,421</u>	<u>119,747</u>	<u>654,321</u>
Operating Lease	87,128	-	108,634
Other Assets	73,128	75,977	37,144
	<u>160,256</u>	<u>75,977</u>	<u>145,778</u>
Total Assets	<u>\$ 4,487,849</u>	<u>\$ 2,272,098</u>	<u>\$ 3,575,939</u>
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 769,707	\$ 197,801	\$ 340,861
Deferred Revenue	-	166,667	-
Accounts Payable	32,892	11,789	35,190
Accrued Liabilities	183,038	94,852	274,477
Operating Lease Liability	87,128	-	107,303
Total Liabilities	<u>1,072,764</u>	<u>471,108</u>	<u>757,831</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	2,434,615	820,519	1,837,639
Total Members' Equity	<u>3,415,085</u>	<u>1,800,989</u>	<u>2,818,109</u>
Total Liabilities and Members' Equity	<u>\$ 4,487,849</u>	<u>\$ 2,272,098</u>	<u>\$ 3,575,939</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended February 28		Five Months Ended February 28	
	2021	2020	2021	2020
Revenue				
Corporate Agent Program	\$ 1,040,053	\$ 273,517	\$ 4,005,401	\$ 1,398,275
Financial Product Sales	21,731	91,131	411,815	351,738
Platform Fee Revenue	-	41,667	-	208,333
Advisory and Other Income	8,632	8,632	85,960	89,084
Net Interest Income	1,314	699	7,611	3,236
Total Revenue	1,071,730	415,646	4,510,787	2,050,666
Net Gains (Losses) on Investment Securities	(2,136)	2,665	(6,906)	4,220
Expenses				
Salaries and Benefits	\$ 115,253	\$ 87,924	\$ 528,199	\$ 432,181
Commissions	796,476	217,139	3,065,773	1,066,350
Professional and Outside Services	30,407	23,865	175,329	148,234
Office Occupancy and Administration	6,896	8,137	36,506	38,949
Data Processing	6,251	5,186	30,306	26,433
Memberships and Registrations	2,840	1,947	19,004	13,447
Travel and Incidentals	832	3,685	1,864	11,459
Insurance and Other	11,136	7,320	49,924	36,040
Total Expenses	970,092	355,203	3,906,904	1,773,092
Net Income/(Loss)	\$ 99,503	\$ 63,108	\$ 596,976	\$ 281,794