

CU Investment Solutions LLC
Statements of Financial Condition

	March 31,		September 30,
	2021	2020	2020
	<i>(unaudited)</i>	<i>(unaudited)</i>	<i>(audited)</i>
Assets			
Cash	\$ 1,734,430	\$1,906,591	\$ 1,513,299
Investments, at fair value	1,238,571	995,653	1,262,542
Receivables:			
Commissions	2,658,097	139,959	641,124
Advisory Fees	12,798	8,632	8,632
Other	1,158	1,713	248
Accrued Interest	2,023	912	4,317
Total Receivables	<u>2,674,076</u>	<u>151,216</u>	<u>654,321</u>
Operating Lease	83,016	1,267	108,634
Other Assets	55,778	58,906	37,144
	<u>138,795</u>	<u>60,173</u>	<u>145,778</u>
Total Assets	<u>\$ 5,785,872</u>	<u>\$ 3,113,633</u>	<u>\$ 3,575,939</u>
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 1,661,441	\$ 651,818	\$ 340,861
Deferred Revenue	-	125,000	-
Accounts Payable	35,058	7,350	35,190
Accrued Liabilities	241,732	153,287	274,477
Operating Lease Liability	83,016	-	107,303
Total Liabilities	<u>2,021,247</u>	<u>937,454</u>	<u>757,831</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	2,784,155	1,195,708	1,837,639
Total Members' Equity	<u>3,764,625</u>	<u>2,176,178</u>	<u>2,818,109</u>
Total Liabilities and Members' Equity	<u>\$ 5,785,872</u>	<u>\$ 3,113,633</u>	<u>\$ 3,575,939</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended March 31		Six Months Ended March 31	
	2021	2020	2021	2020
Revenue				
Corporate Agent Program	\$ 2,241,509	\$ 919,547	\$ 6,246,910	\$ 2,317,821
Financial Product Sales	11,970	253,972	423,786	605,710
Platform Fee Revenue	-	41,667	-	250,000
Advisory and Other Income	8,632	8,632	94,592	97,716
Net Interest Income	1,945	865	9,555	4,101
Total Revenue	2,264,056	1,224,682	6,774,843	3,275,348
Net Gains (Losses) on Investment Securities	(12,836)	1,142	(19,743)	5,362
Expenses				
Salaries and Benefits	\$ 114,471	\$ 88,714	\$ 642,670	\$ 520,895
Commissions	1,713,731	709,574	4,779,503	1,775,924
Professional and Outside Services	46,953	29,684	222,282	177,918
Office Occupancy and Administration	7,038	6,762	43,544	45,711
Data Processing	6,251	5,197	36,558	31,630
Memberships and Registrations	4,665	3,143	23,669	16,589
Travel and Incidentals	-	243	1,864	11,702
Insurance and Other	8,571	7,320	58,496	43,359
Total Expenses	1,901,680	850,636	5,808,584	2,623,728
Net Income/(Loss)	\$ 349,540	\$ 375,189	\$ 946,516	\$ 656,983