

CU Investment Solutions LLC
Statements of Financial Condition

	April 30,		September 30,
	2021 <i>(unaudited)</i>	2020 <i>(unaudited)</i>	2020 <i>(audited)</i>
Assets			
Cash	\$ 2,179,512	\$1,750,331	\$ 1,513,299
Investments, at fair value	1,243,159	1,006,838	1,262,542
Receivables:			
Commissions	1,607,653	132,057	641,124
Advisory Fees	17,032	17,032	8,632
Other	650	2,135	248
Accrued Interest	3,386	2,067	4,317
Total Receivables	<u>1,628,720</u>	<u>153,291</u>	<u>654,321</u>
Operating Lease	78,889	-	108,634
Other Assets	57,894	56,391	37,144
	<u>136,783</u>	<u>56,391</u>	<u>145,778</u>
Total Assets	<u>\$ 5,188,174</u>	<u>\$ 2,966,850</u>	<u>\$ 3,575,939</u>
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 901,822	\$ 446,308	\$ 340,861
Deferred Revenue	-	83,333	-
Accounts Payable	36,580	10,134	35,190
Accrued Liabilities	237,721	131,868	274,477
Operating Lease Liability	78,889	-	107,303
Total Liabilities	<u>1,255,012</u>	<u>671,644</u>	<u>757,831</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	2,952,691	1,314,737	1,837,639
Total Members' Equity	<u>3,933,161</u>	<u>2,295,207</u>	<u>2,818,109</u>
Total Liabilities and Members' Equity	<u>\$ 5,188,174</u>	<u>\$ 2,966,850</u>	<u>\$ 3,575,939</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended April 30		Seven Months Ended April 30	
	2021	2020	2021	2020
Revenue				
Corporate Agent Program	\$ 1,220,307	\$ 609,268	\$ 7,467,216	\$ 2,927,089
Financial Product Sales	38,371	60,654	462,157	666,364
Platform Fee Revenue	-	41,667	-	291,667
Advisory and Other Income	17,032	17,032	111,624	114,748
Net Interest Income	1,676	1,298	11,231	5,398
Total Revenue	1,277,385	729,918	8,052,228	4,005,267
Net Gains (Losses) on Investment Securities	4,455	11,116	(15,287)	16,479
Expenses				
Salaries and Benefits	\$ 116,557	\$ 105,278	\$ 759,227	\$ 626,173
Commissions	935,227	467,895	5,714,730	2,243,819
Professional and Outside Services	36,476	26,021	258,758	203,939
Office Occupancy and Administration	6,893	6,741	50,437	52,451
Data Processing	6,251	5,148	42,809	36,778
Memberships and Registrations	5,190	3,603	28,859	20,193
Travel and Incidentals	358	-	2,221	11,702
Insurance and Other	6,352	7,320	64,847	50,679
Total Expenses	1,113,304	622,006	6,921,888	3,245,734
Net Income/(Loss)	\$ 168,537	\$ 119,029	\$ 1,115,053	\$ 776,011