

CU Investment Solutions LLC
Statements of Financial Condition

	May 31,		September 30,
	2021 <i>(unaudited)</i>	2020 <i>(unaudited)</i>	2020 <i>(audited)</i>
Assets			
Cash	\$ 2,311,637	\$1,155,363	\$ 1,513,299
Investments, at fair value	1,512,327	1,012,185	1,262,542
Receivables:			
Commissions	913,461	1,181,709	641,124
Advisory Fees	8,632	13,098	8,632
Accrued Interest	7,435	3,267	4,317
Other	-	1,410	248
Total Receivables	<u>929,528</u>	<u>1,199,484</u>	<u>654,321</u>
Operating Lease	74,745	-	108,634
Other Assets	70,883	68,419	37,144
	<u>145,627</u>	<u>68,419</u>	<u>145,778</u>
Total Assets	<u>\$ 4,899,119</u>	<u>\$ 3,435,451</u>	<u>\$ 3,575,939</u>
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 561,928	\$ 750,543	\$ 340,861
Accrued Liabilities	253,260	156,768	274,477
Operating Lease Liability	74,745	-	107,303
Accounts Payable	34,832	6,090	35,190
Deferred Revenue	-	41,667	-
Total Liabilities	<u>924,766</u>	<u>955,067</u>	<u>757,831</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	2,993,883	1,499,914	1,837,639
Total Members' Equity	<u>3,974,353</u>	<u>2,480,384</u>	<u>2,818,109</u>
Total Liabilities and Members' Equity	<u>\$ 4,899,119</u>	<u>\$ 3,435,451</u>	<u>\$ 3,575,939</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended May 31		Eight Months Ended May 31	
	2021	2020	2021	2020
Revenue				
Corporate Agent Program	\$ 768,055	\$ 1,017,286	\$ 8,235,271	\$ 3,944,375
Financial Product Sales	15,599	41,679	477,756	708,043
Advisory and Other Income	9,522	9,783	121,146	124,531
Net Interest Income	1,749	1,378	12,980	6,776
Platform Fee Revenue	-	41,667	-	333,333
Total Revenue	794,925	1,111,792	8,847,152	5,117,059
Net Gains (Losses) on Investment Securities	2,710	5,276	(12,577)	21,755
Expenses				
Salaries and Benefits	\$ 117,492	\$ 101,166	\$ 876,719	\$ 727,338
Commissions	581,627	777,351	6,296,357	3,021,170
Professional and Outside Services	32,152	30,346	290,910	234,285
Office Occupancy and Administration	4,489	6,721	54,925	59,173
Data Processing	10,474	5,934	53,283	42,712
Memberships and Registrations	4,555	4,250	33,414	24,443
Travel and Incidentals	181	-	2,402	11,702
Insurance and Other	5,474	6,123	70,321	56,802
Total Expenses	756,443	931,891	7,678,331	4,177,625
Net Income/(Loss)	\$ 41,192	\$ 185,177	\$ 1,156,245	\$ 961,188