

CU Investment Solutions LLC
Statements of Financial Condition

	June 30,		September 30,
	2021	2020	2020
	<i>(unaudited)</i>	<i>(unaudited)</i>	<i>(audited)</i>
Assets			
Cash	\$ 2,189,805	\$1,227,526	\$ 1,513,299
Investments, at fair value	1,776,044	1,257,859	1,262,542
Receivables:			
Commissions	846,948	1,312,642	641,124
Advisory Fees	13,098	13,098	8,632
Accrued Interest	8,928	4,429	4,317
Other	-	29,110	248
Total Receivables	<u>868,974</u>	<u>1,359,279</u>	<u>654,321</u>
Operating Lease	70,584	-	108,634
Other Assets	50,886	50,089	37,144
	<u>121,470</u>	<u>50,089</u>	<u>145,778</u>
Total Assets	<u>\$ 4,956,293</u>	<u>\$ 3,894,753</u>	<u>\$ 3,575,939</u>
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 551,857	\$ 928,932	\$ 340,861
Accrued Liabilities	285,383	194,549	274,477
Operating Lease Liability	70,584	-	107,303
Accounts Payable	29,195	10,870	35,190
Total Liabilities	<u>937,019</u>	<u>1,134,351</u>	<u>757,831</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	3,038,805	1,779,931	1,837,639
Total Members' Equity	<u>4,019,275</u>	<u>2,760,401</u>	<u>2,818,109</u>
Total Liabilities and Members' Equity	<u>\$ 4,956,293</u>	<u>\$ 3,894,753</u>	<u>\$ 3,575,939</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended		Nine Months Ended	
	June 30		June 30	
	2021	2020	2021	2020
Revenue				
Corporate Agent Program	\$ 760,111	\$ 1,259,965	\$ 8,995,382	\$ 5,204,341
Financial Product Sales	21,254	90,250	499,010	798,293
Advisory and Other Income	8,632	8,632	129,778	133,163
Net Interest Income	1,969	1,360	14,949	8,136
Platform Fee Revenue	-	41,667	-	375,000
Total Revenue	791,966	1,401,873	9,639,119	6,518,932
Net Gains (Losses) on Investment Securities	1,894	1,338	(10,683)	23,093
Expenses				
Salaries and Benefits	\$ 113,853	\$ 100,712	\$ 990,571	\$ 828,051
Commissions	572,254	968,120	6,868,611	3,989,290
Professional and Outside Services	34,992	33,009	325,902	267,293
Office Occupancy and Administration	8,247	6,840	63,172	66,013
Data Processing	7,148	5,148	60,431	47,860
Memberships and Registrations	5,591	4,616	39,005	29,059
Travel and Incidentals	595	59	2,997	11,761
Insurance and Other	6,259	4,689	76,580	61,492
Total Expenses	748,939	1,123,194	8,427,270	5,300,819
Net Income/(Loss)	\$ 44,921	\$ 280,017	\$ 1,201,166	\$ 1,241,206