

**CU Investment Solutions LLC**  
**Statements of Financial Condition**

	July 31,		September 30,
	2021	2020	2020
	<i>(unaudited)</i>	<i>(unaudited)</i>	<i>(audited)</i>
<b>Assets</b>			
Cash	\$ 2,755,998	\$1,254,553	\$ 1,513,299
Investments, at fair value	1,285,099	1,262,072	1,262,542
Receivables:			
Commissions	517,684	886,658	641,124
Advisory Fees	17,032	17,032	8,632
Accrued Interest	5,135	5,771	4,317
Other	-	25,667	248
Total Receivables	<u>539,851</u>	<u>935,127</u>	<u>654,321</u>
Operating Lease	66,407	-	108,634
Other Assets	35,329	34,294	37,144
	<u>101,736</u>	<u>34,294</u>	<u>145,778</u>
<b>Total Assets</b>	<u>\$ 4,682,683</u>	<u>\$ 3,486,047</u>	<u>\$ 3,575,939</u>
<b>Liabilities and Equity</b>			
Liabilities:			
Commissions Payable	\$ 301,441	\$ 415,911	\$ 340,861
Accrued Liabilities	301,066	203,527	274,477
Operating Lease Liability	66,407	-	107,303
Accounts Payable	26,763	21,403	35,190
Total Liabilities	<u>695,677</u>	<u>640,841</u>	<u>757,831</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	3,006,536	1,864,737	1,837,639
Total Members' Equity	<u>3,987,006</u>	<u>2,845,207</u>	<u>2,818,109</u>
<b>Total Liabilities and Members' Equity</b>	<u>\$ 4,682,683</u>	<u>\$ 3,486,047</u>	<u>\$ 3,575,939</u>

**CU Investment Solutions LLC**  
**Statement of Income (unaudited)**

	One Month Ended		Ten Months Ended	
	July 31		July 31	
	2021	2020	2021	2020
<b>Revenue</b>				
Corporate Agent Program	\$ 404,226	\$ 553,448	\$ 9,399,608	\$ 5,757,789
Financial Product Sales	21,250	105,644	520,260	903,937
Advisory and Other Income	17,032	17,032	146,810	150,195
Net Interest Income	1,717	1,580	16,665	9,716
Platform Fee Revenue	-	-	-	375,000
<b>Total Revenue</b>	<b>444,225</b>	<b>677,705</b>	<b>10,083,344</b>	<b>7,196,636</b>
Net Gains (Losses) on Investment Securities	7,561	4,130	(3,122)	27,223
<b>Expenses</b>				
Salaries and Benefits	\$ 113,336	\$ 105,905	\$ 1,103,907	\$ 933,956
Commissions	313,947	443,341	7,182,558	4,432,631
Professional and Outside Services	28,170	24,782	354,073	292,075
Office Occupancy and Administration	7,299	7,059	70,471	73,072
Data Processing	6,732	6,098	67,163	53,958
Memberships and Registrations	6,296	6,321	45,301	35,380
Travel and Incidentals	-	85	2,997	11,845
Insurance and Other	8,274	3,439	84,855	64,931
<b>Total Expenses</b>	<b>484,054</b>	<b>597,029</b>	<b>8,911,324</b>	<b>5,897,848</b>
<b>Net Income/(Loss)</b>	<b>\$ (32,268)</b>	<b>\$ 84,806</b>	<b>\$ 1,168,897</b>	<b>\$ 1,326,011</b>