

CU Investment Solutions LLC
Statements of Financial Condition

	August 31,		September 30,
	2021	2020	2020
	<i>(unaudited)</i>	<i>(unaudited)</i>	<i>(audited)</i>
Assets			
Cash	\$ 2,708,919	\$1,506,408	\$ 1,513,299
Investments, at fair value	1,281,004	1,263,055	1,262,542
Receivables:			
Commissions	776,632	676,200	641,124
Advisory Fees	8,632	8,632	8,632
Accrued Interest	4,679	4,954	4,317
Other	-	248	248
Total Receivables	<u>789,942</u>	<u>690,033</u>	<u>654,321</u>
Operating Lease	62,213	-	108,634
Other Assets	71,984	44,759	37,144
	<u>134,197</u>	<u>44,759</u>	<u>145,778</u>
Total Assets	<u>\$ 4,914,063</u>	<u>\$ 3,504,255</u>	<u>\$ 3,575,939</u>
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 489,967	\$ 388,744	\$ 340,861
Accrued Liabilities	333,969	206,902	274,477
Operating Lease Liability	62,213	-	107,303
Accounts Payable	20,611	56,238	35,190
Total Liabilities	<u>906,761</u>	<u>651,884</u>	<u>757,831</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	3,026,832	1,871,901	1,837,639
Total Members' Equity	<u>4,007,302</u>	<u>2,852,371</u>	<u>2,818,109</u>
Total Liabilities and Members' Equity	<u>\$ 4,914,063</u>	<u>\$ 3,504,255</u>	<u>\$ 3,575,939</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended		Eleven Months Ended	
	August 31		August 31	
	2021	2020	2021	2020
Revenue				
Corporate Agent Program	\$ 677,613	\$ 515,133	\$ 10,077,222	\$ 6,272,922
Financial Product Sales	25,936	30,686	546,196	934,623
Advisory and Other Income	8,632	8,632	155,442	158,827
Net Interest Income	1,571	1,643	18,236	11,358
Platform Fee Revenue	-	-	-	375,000
Total Revenue	713,752	556,093	10,797,096	7,752,730
Net Gains (Losses) on Investment Securities	(3,674)	900	(6,797)	28,123
Expenses				
Salaries and Benefits	\$ 110,667	\$ 100,326	\$ 1,214,574	\$ 1,034,282
Commissions	509,247	403,831	7,691,805	4,836,462
Professional and Outside Services	41,885	26,362	395,958	318,437
Office Occupancy and Administration	7,194	6,713	77,664	79,785
Data Processing	6,573	6,226	73,735	60,185
Memberships and Registrations	5,216	3,353	50,518	38,733
Travel and Incidentals	3,256	79	6,253	11,925
Insurance and Other	5,744	2,939	90,599	67,870
Total Expenses	689,781	549,830	9,601,106	6,447,678
Net Income/(Loss)	\$ 20,296	\$ 7,164	\$ 1,189,193	\$ 1,333,175