

**CU Investment Solutions LLC**  
**Statements of Financial Condition**

	September 30,	
	2021 <i>(unaudited)</i>	2020 <i>(audited)</i>
<b>Assets</b>		
Cash	\$ 2,305,672	\$1,513,299
Investments, at fair value	1,773,175	1,262,542
Receivables:		
Commissions	937,602	641,124
Advisory Fees	8,632	8,632
Accrued Interest	3,960	4,317
Other	-	248
Total Receivables	<u>950,194</u>	<u>654,321</u>
Operating Lease	58,003	107,303
Other Assets	52,403	38,474
	<u>110,407</u>	<u>145,778</u>
<b>Total Assets</b>	<u><u>\$ 5,139,448</u></u>	<u><u>\$ 3,575,939</u></u>
<b>Liabilities and Equity</b>		
Liabilities:		
Commissions Payable	\$ 639,603	\$ 340,861
Accrued Liabilities	345,378	274,477
Operating Lease Liability	58,003	107,303
Accounts Payable	20,899	35,190
Total Liabilities	<u>1,063,883</u>	<u>757,831</u>
Members' Equity:		
Members' Shares	900,000	900,000
Member Paid-In Capital	80,470	80,470
Retained Earnings	3,095,094	1,837,639
Total Members' Equity	<u>4,075,564</u>	<u>2,818,109</u>
<b>Total Liabilities and Members' Equity</b>	<u><u>\$ 5,139,448</u></u>	<u><u>\$ 3,575,939</u></u>

**CU Investment Solutions LLC**  
**Statement of Income (unaudited)**

	One Month Ended September 30		Twelve Months Ended September 30	
	2021	2020	2021	2020
<b>Revenue</b>				
Corporate Agent Program	\$ 877,044	\$ 462,782	\$ 10,954,266	\$ 6,735,704
Financial Product Sales	-	46,521	546,196	981,144
Advisory and Other Income	13,232	8,632	168,674	167,459
Net Interest Income	1,627	1,581	19,863	12,939
Platform Fee Revenue	-	-	-	375,000
<b>Total Revenue</b>	<b>891,903</b>	<b>519,515</b>	<b>11,688,999</b>	<b>8,272,245</b>
Net Gains (Losses) on Investment Securities				
	(2,345)	(594)	(9,142)	27,529
<b>Expenses</b>				
Salaries and Benefits	\$ 130,402	\$ 162,754	\$ 1,344,976	\$ 1,197,036
Commissions	659,336	357,327	8,351,141	5,193,789
Professional and Outside Services	47,441	31,276	443,399	349,713
Office Occupancy and Administration	7,531	7,109	85,195	86,894
Data Processing	6,741	6,001	80,476	66,186
Memberships and Registrations	5,310	3,354	55,828	42,088
Travel and Incidentals	390	164	6,643	12,088
Insurance and Other	(35,856)	(14,802)	54,743	53,069
<b>Total Expenses</b>	<b>821,295</b>	<b>553,184</b>	<b>10,422,401</b>	<b>7,000,862</b>
<b>Net Income/(Loss)</b>	<b>\$ 68,262</b>	<b>\$ (34,262)</b>	<b>\$ 1,257,456</b>	<b>\$ 1,298,913</b>