

CU Investment Solutions LLC
Statements of Financial Condition

	November 30,		September 30,
	2021 <i>(unaudited)</i>	2020 <i>(audited)</i>	2021 <i>(audited)</i>
Assets			
Cash	\$ 1,988,947	\$1,524,662	\$ 2,305,672
Investments, at fair value	2,009,607	1,259,909	1,773,175
Receivables:			
Commissions	735,627	886,859	937,602
Advisory Fees	8,632	8,632	8,632
Accrued Interest	5,919	7,089	3,960
Other	-	-	-
Total Receivables	<u>750,178</u>	<u>902,579</u>	<u>950,194</u>
Operating Lease	49,392	99,366	58,003
Other Assets	<u>96,591</u>	<u>78,884</u>	<u>52,403</u>
	145,982	178,249	110,407
Total Assets	<u>\$ 4,894,714</u>	<u>\$ 3,865,400</u>	<u>\$ 5,139,448</u>
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 458,269	\$ 532,980	\$ 639,603
Accrued Liabilities	193,734	129,267	345,378
Operating Lease Liability	49,392	99,366	58,003
Accounts Payable	<u>36,435</u>	<u>70,988</u>	<u>20,899</u>
Total Liabilities	737,829	832,601	1,063,883
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	<u>3,176,415</u>	<u>2,052,328</u>	<u>3,095,094</u>
Total Members' Equity	4,156,885	3,032,798	4,075,564
Total Liabilities and Members' Equity	<u>\$ 4,894,714</u>	<u>\$ 3,865,400</u>	<u>\$ 5,139,448</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended November 30		Two Months Ended November 30	
	2021	2020	2021	2020
Revenue				
Corporate Agent Program	\$ 632,178	\$ 723,198	\$ 1,304,854	\$ 1,417,476
Financial Product Sales	99,399	47,189	129,399	236,339
Advisory and Other Income	8,632	8,632	25,664	25,664
Net Interest Income	2,137	1,580	4,201	3,214
Total Revenue	742,346	780,599	1,464,118	1,682,693
Net Gains (Losses) on Investment Securities	(4,089)	(1,930)	(11,400)	(2,797)
Expenses				
Salaries and Benefits	\$ 106,296	\$ 106,547	\$ 213,401	\$ 204,311
Commissions	487,985	556,606	993,512	1,118,367
Professional and Outside Services	49,284	44,859	105,821	85,991
Office Occupancy and Administration	7,295	8,181	13,680	15,057
Data Processing	6,794	6,001	16,715	12,003
Memberships and Registrations	4,355	3,865	8,750	9,623
Travel and Incidentals	141	104	368	316
Insurance and Other	9,575	9,340	19,149	19,537
Total Expenses	671,723	735,503	1,371,397	1,465,206
Net Income/(Loss)	\$ 66,534	\$ 43,166	\$ 81,321	\$ 214,690