

CU Investment Solutions LLC
Statements of Financial Condition

	December 31,		September 30,
	2021 <i>(unaudited)</i>	2020 <i>(audited)</i>	2021 <i>(audited)</i>
Assets			
Cash	\$ 1,958,974	\$1,537,795	\$ 2,305,672
Investments, at fair value	2,002,305	1,258,742	1,773,175
Receivables:			
Commissions	466,712	857,719	937,602
Advisory Fees	13,098	8,632	8,632
Accrued Interest	6,023	8,497	3,960
Other	1,610	-	-
Total Receivables	<u>487,442</u>	<u>874,848</u>	<u>950,194</u>
Operating Lease	44,990	95,303	58,003
Other Assets	94,858	86,235	52,403
	<u>139,848</u>	<u>181,537</u>	<u>110,407</u>
Total Assets	<u>\$ 4,588,569</u>	<u>\$ 3,852,922</u>	<u>\$ 5,139,448</u>
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 273,476	\$ 492,243	\$ 639,603
Accrued Liabilities	171,503	134,889	345,378
Operating Lease Liability	44,990	95,302	58,003
Accounts Payable	20,087	24,220	20,899
Total Liabilities	<u>510,056</u>	<u>746,654</u>	<u>1,063,883</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	3,098,043	2,125,798	3,095,094
Total Members' Equity	<u>4,078,513</u>	<u>3,106,268</u>	<u>4,075,564</u>
Total Liabilities and Members' Equity	<u>\$ 4,588,569</u>	<u>\$ 3,852,922</u>	<u>\$ 5,139,448</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended December 31		Three Months Ended December 31	
	2021	2020	2021	2020
Revenue				
Corporate Agent Program	\$ 377,442	\$ 667,192	\$ 1,682,296	\$ 2,084,667
Financial Product Sales	(44)	76,463	129,355	312,802
Advisory and Other Income	8,632	8,632	34,296	34,296
Net Interest Income	2,299	1,633	6,500	4,847
Total Revenue	388,330	753,919	1,852,448	2,436,612
Net Gains (Losses) on Investment Securities	(6,948)	(1,250)	(18,348)	(4,048)
Expenses				
Salaries and Benefits	\$ 105,608	\$ 101,902	\$ 319,010	\$ 306,213
Commissions	281,962	519,106	1,275,474	1,637,473
Professional and Outside Services	41,502	30,543	147,323	116,534
Office Occupancy and Administration	8,018	7,229	21,698	22,286
Data Processing	8,392	6,050	25,107	18,053
Memberships and Registrations	4,366	3,703	13,116	13,326
Travel and Incidentals	286	76	655	392
Insurance and Other	9,619	10,590	28,768	30,127
Total Expenses	459,754	679,200	1,831,151	2,144,405
Net Income/(Loss)	\$ (78,373)	\$ 73,470	\$ 2,948	\$ 288,159