

CU Investment Solutions LLC
Statements of Financial Condition

	January 31,		September 30,
	2022 <i>(unaudited)</i>	2021 <i>(audited)</i>	2021 <i>(audited)</i>
Assets			
Cash	\$ 1,777,164	\$2,016,917	\$ 2,305,672
Investments, at fair value	2,222,140	1,010,091	1,773,175
Receivables:			
Commissions	532,042	954,487	937,602
Advisory Fees	17,032	17,032	8,632
Accrued Interest	8,756	6,598	3,960
Other	34,046	34,116	-
Total Receivables	<u>591,876</u>	<u>1,012,234</u>	<u>950,194</u>
Operating Lease	40,570	91,223	58,003
Other Assets	63,750	63,209	52,403
	<u>104,320</u>	<u>154,433</u>	<u>110,407</u>
Total Assets	<u>\$ 4,695,500</u>	<u>\$ 4,193,675</u>	<u>\$ 5,139,448</u>
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 328,109	\$ 600,029	\$ 639,603
Accrued Liabilities	196,925	160,218	345,378
Operating Lease Liability	40,570	91,223	58,003
Accounts Payable	19,676	26,622	20,899
Total Liabilities	<u>585,280</u>	<u>878,093</u>	<u>1,063,883</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	3,129,750	2,335,112	3,095,094
Total Members' Equity	<u>4,110,220</u>	<u>3,315,582</u>	<u>4,075,564</u>
Total Liabilities and Members' Equity	<u>\$ 4,695,500</u>	<u>\$ 4,193,675</u>	<u>\$ 5,139,448</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended January 31		Four Months Ended January 31	
	2022	2021	2022	2021
Revenue				
Corporate Agent Program	\$ 509,759	\$ 880,680	\$ 2,192,055	\$ 2,965,347
Financial Product Sales	26,000	77,282	155,355	390,084
Advisory and Other Income	43,032	43,032	77,328	77,328
Net Interest Income	2,533	1,450	9,033	6,297
Total Revenue	581,324	1,002,444	2,433,771	3,439,056
Net Gains (Losses) on Investment Securities	(26,401)	(723)	(44,749)	(4,770)
Expenses				
Salaries and Benefits	\$ 113,059	\$ 106,733	\$ 432,069	\$ 412,946
Commissions	343,612	631,823	1,619,086	2,269,296
Professional and Outside Services	39,478	28,388	186,801	144,922
Office Occupancy and Administration	7,224	7,324	28,922	29,610
Data Processing	6,856	6,001	31,963	24,055
Memberships and Registrations	2,127	2,837	15,244	16,164
Travel and Incidentals	240	640	895	1,032
Insurance and Other	10,619	8,661	39,387	38,789
Total Expenses	523,216	792,407	2,354,367	2,936,813
Net Income/(Loss)	<u>\$ 31,707</u>	<u>\$ 209,314</u>	<u>\$ 34,655</u>	<u>\$ 497,473</u>