

**CU Investment Solutions LLC**  
**Statements of Financial Condition**

	February 28,		September 30,
	2022	2021	2021
	<i>(unaudited)</i>	<i>(audited)</i>	<i>(audited)</i>
<b>Assets</b>			
Cash	\$ 1,825,784	\$2,110,153	\$ 2,305,672
Investments, at fair value	2,204,173	1,008,019	1,773,175
Receivables:			
Commissions	721,032	1,194,051	937,602
Advisory Fees	8,632	8,632	8,632
Accrued Interest	9,106	5,430	3,960
Other	13,075	1,308	-
Total Receivables	<u>751,845</u>	<u>1,209,421</u>	<u>950,194</u>
Operating Lease	36,133	87,128	58,003
Other Assets	93,603	73,128	52,403
	<u>129,737</u>	<u>160,256</u>	<u>110,407</u>
<b>Total Assets</b>	<u>\$ 4,911,538</u>	<u>\$ 4,487,849</u>	<u>\$ 5,139,448</u>
<b>Liabilities and Equity</b>			
Liabilities:			
Commissions Payable	\$ 475,713	\$ 769,707	\$ 639,603
Accrued Liabilities	236,274	183,038	345,378
Operating Lease Liability	36,133	87,128	58,003
Accounts Payable	25,679	32,892	20,899
Total Liabilities	<u>773,799</u>	<u>1,072,764</u>	<u>1,063,883</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	3,157,269	2,434,615	3,095,094
Total Members' Equity	<u>4,137,739</u>	<u>3,415,085</u>	<u>4,075,564</u>
<b>Total Liabilities and Members' Equity</b>	<u>\$ 4,911,538</u>	<u>\$ 4,487,849</u>	<u>\$ 5,139,448</u>

**CU Investment Solutions LLC**  
**Statement of Income (unaudited)**

	One Month Ended February 28		Five Months Ended February 28	
	2022	2021	2022	2021
<b>Revenue</b>				
Corporate Agent Program	\$ 667,964	\$ 1,040,053	\$ 2,860,020	\$ 4,005,401
Financial Product Sales	48,721	21,731	204,076	411,815
Advisory and Other Income	8,632	8,632	85,960	85,960
Net Interest Income	2,466	1,314	11,499	7,611
<b>Total Revenue</b>	<b>727,784</b>	<b>1,071,730</b>	<b>3,161,555</b>	<b>4,510,787</b>
Net Gains (Losses) on Investment Securities	(17,686)	(2,136)	(62,435)	(6,906)
<b>Expenses</b>				
Salaries and Benefits	\$ 113,755	\$ 115,253	\$ 545,824	\$ 528,199
Commissions	498,294	796,476	2,117,380	3,065,773
Professional and Outside Services	42,127	30,407	228,928	175,329
Office Occupancy and Administration	8,329	6,896	37,251	36,506
Data Processing	6,374	6,251	38,337	30,306
Memberships and Registrations	3,622	2,840	18,866	19,004
Travel and Incidentals	209	832	1,103	1,864
Insurance and Other	9,869	11,136	49,257	49,924
<b>Total Expenses</b>	<b>682,579</b>	<b>970,092</b>	<b>3,036,945</b>	<b>3,906,904</b>
<b>Net Income/(Loss)</b>	<b>\$ 27,519</b>	<b>\$ 99,503</b>	<b>\$ 62,175</b>	<b>\$ 596,976</b>