

**CU Investment Solutions LLC**  
**Statements of Financial Condition**

	March 31,		September 30,
	2022 <i>(unaudited)</i>	2021 <i>(audited)</i>	2021 <i>(audited)</i>
<b>Assets</b>			
Cash	\$ 1,585,143	\$1,734,430	\$ 2,305,672
Investments, at fair value	2,402,475	1,238,571	1,773,175
Receivables:			
Commissions	1,262,664	2,658,097	937,602
Advisory Fees	8,632	12,798	8,632
Accrued Interest	9,280	1,158	3,960
Other	1,790	2,023	-
Total Receivables	<u>1,282,366</u>	<u>2,674,076</u>	<u>950,194</u>
Operating Lease	31,679	83,016	58,003
Other Assets	67,452	55,778	52,403
	<u>99,131</u>	<u>138,795</u>	<u>110,407</u>
<b>Total Assets</b>	<u>\$ 5,369,115</u>	<u>\$ 5,785,872</u>	<u>\$ 5,139,448</u>
<b>Liabilities and Equity</b>			
Liabilities:			
Commissions Payable	\$ 774,542	\$ 1,661,441	\$ 639,603
Accrued Liabilities	272,775	241,732	345,378
Operating Lease Liability	31,679	83,016	58,003
Accounts Payable	37,321	35,058	20,899
Total Liabilities	<u>1,116,316</u>	<u>2,021,247</u>	<u>1,063,883</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	3,272,328	2,784,155	3,095,094
Total Members' Equity	<u>4,252,798</u>	<u>3,764,625</u>	<u>4,075,564</u>
<b>Total Liabilities and Members' Equity</b>	<u>\$ 5,369,115</u>	<u>\$ 5,785,872</u>	<u>\$ 5,139,448</u>

**CU Investment Solutions LLC**  
**Statement of Income (unaudited)**

	One Month Ended		Six Months Ended	
	March 31		March 31	
	2022	2021	2022	2021
<b>Revenue</b>				
Corporate Agent Program	\$ 1,068,945	\$ 2,241,509	\$ 3,928,965	\$ 6,246,910
Financial Product Sales	86,473	11,970	290,549	423,786
Advisory and Other Income	10,772	8,632	96,732	94,592
Net Interest Income	2,716	1,945	14,215	9,555
<b>Total Revenue</b>	<b>1,168,906</b>	<b>2,264,056</b>	<b>4,330,461</b>	<b>6,774,843</b>
Net Gains (Losses) on Investment Securities	(53,043)	(12,836)	(115,478)	(19,743)
<b>Expenses</b>				
Salaries and Benefits	\$ 112,106	\$ 114,471	\$ 657,930	\$ 642,670
Commissions	812,044	1,713,731	2,929,424	4,779,503
Professional and Outside Services	49,593	46,953	278,521	222,282
Office Occupancy and Administration	7,164	7,038	44,415	43,544
Data Processing	7,321	6,251	45,657	36,558
Memberships and Registrations	2,957	4,665	21,823	23,669
Travel and Incidentals	-	-	1,103	1,864
Insurance and Other	9,619	8,571	58,876	58,496
<b>Total Expenses</b>	<b>1,000,804</b>	<b>1,901,680</b>	<b>4,037,750</b>	<b>5,808,584</b>
<b>Net Income/(Loss)</b>	<b>\$ 115,059</b>	<b>\$ 349,540</b>	<b>\$ 177,234</b>	<b>\$ 946,516</b>