

CU Investment Solutions LLC
Statements of Financial Condition

	November 30,		September 30,
	2022	2021	2022
	<i>(unaudited)</i>	<i>(audited)</i>	<i>(audited)</i>
Assets			
Cash	\$ 704,880	\$1,988,947	\$ 1,140,325
Investments, at fair value	2,742,177	2,009,607	2,738,689
Receivables:			
Commissions	72,500	735,627	197,090
Advisory Fees	8,632	8,632	8,632
Accrued Interest	8,048	5,919	6,153
Total Receivables	<u>89,181</u>	<u>750,178</u>	<u>211,875</u>
Operating Lease	100,990	49,392	108,947
Other Assets	97,868	96,591	49,305
	<u>198,858</u>	<u>145,982</u>	<u>158,252</u>
Total Assets	<u>\$ 3,735,095</u>	<u>\$ 4,894,714</u>	<u>\$ 4,249,142</u>
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 45,901	\$ 458,269	\$ 116,077
Accrued Liabilities	189,940	193,734	326,125
Operating Lease Liability	100,990	49,392	108,947
Accounts Payable	29,417	36,435	16,884
Total Liabilities	<u>366,248</u>	<u>737,829</u>	<u>568,032</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	2,388,377	3,176,415	2,700,639
Total Members' Equity	<u>3,368,847</u>	<u>4,156,885</u>	<u>3,681,109</u>
Total Liabilities and Members' Equity	<u>\$ 3,735,095</u>	<u>\$ 4,894,714</u>	<u>\$ 4,249,142</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended November 30		Two Months Ended November 30	
	2022	2021	2022	2021
Revenue				
Corporate Agent Program	\$ 64,880	\$ 632,178	\$ 174,536	\$ 1,304,854
Financial Product Sales	-	99,399	-	129,399
Advisory and Other Income	8,632	8,632	25,664	25,664
Net Interest Income	4,820	2,137	9,790	4,201
Total Revenue	78,332	742,346	209,991	1,464,118
Net Losses on Investment				
Securities	20,575	(4,089)	655	(11,400)
Expenses				
Salaries and Benefits	\$ 108,438	\$ 106,296	\$ 217,494	\$ 213,401
Commissions	47,523	487,985	129,245	993,512
Professional and Outside Services	44,942	49,284	109,266	105,821
Office Occupancy and Administration	6,955	7,295	14,228	13,680
Data Processing	17,795	6,794	24,825	16,715
Memberships and Registrations	3,905	4,355	7,999	8,750
Travel and Incidentals	204	141	557	368
Insurance and Other	9,647	9,575	19,294	19,149
Total Expenses	239,409	671,723	522,908	1,371,397
Net Income/(Loss)	\$ (140,503)	\$ 66,534	\$ (312,262)	\$ 81,321