

CU Investment Solutions LLC
Statements of Financial Condition

	December 31,		September 30,
	2022	2021	2022
	<i>(unaudited)</i>	<i>(audited)</i>	<i>(audited)</i>
Assets			
Cash	\$ 657,223	\$1,958,974	\$ 1,140,325
Investments, at fair value	2,750,944	2,002,305	2,738,689
Receivables:			
Commissions	370,828	466,712	197,090
Advisory Fees	8,632	13,098	8,632
Accrued Interest	11,200	6,023	6,153
Other	-	1,610	-
Total Receivables	<u>390,660</u>	<u>487,442</u>	<u>211,875</u>
Operating Lease	96,971	44,990	108,947
Other Assets	97,896	94,858	49,305
	<u>194,868</u>	<u>139,848</u>	<u>158,252</u>
Total Assets	<u>\$ 3,993,694</u>	<u>\$ 4,588,569</u>	<u>\$ 4,249,142</u>
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 277,112	\$ 273,476	\$ 116,077
Accrued Liabilities	226,760	171,503	326,125
Operating Lease Liability	96,971	44,990	108,947
Accounts Payable	25,890	20,087	16,884
Total Liabilities	<u>626,734</u>	<u>510,056</u>	<u>568,032</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	2,386,490	3,098,043	2,700,639
Total Members' Equity	<u>3,366,960</u>	<u>4,078,513</u>	<u>3,681,109</u>
Total Liabilities and Members' Equity	<u>\$ 3,993,694</u>	<u>\$ 4,588,569</u>	<u>\$ 4,249,142</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended December 31		Three Months Ended December 31	
	2022	2021	2022	2021
Revenue				
Corporate Agent Program	\$ 443,601	\$ 377,442	\$ 618,137	\$ 1,682,296
Financial Product Sales	5,200	(44)	5,200	129,355
Advisory and Other Income	8,632	8,632	34,296	34,296
Net Interest Income	4,847	2,299	14,637	6,500
Total Revenue	462,280	388,330	672,270	1,852,448
Net Losses on Investment				
Securities	7,328	(6,948)	7,983	(18,348)
Expenses				
Salaries and Benefits	\$ 107,964	\$ 105,608	\$ 325,458	\$ 319,010
Commissions	287,008	281,962	416,254	1,275,474
Professional and Outside Services	45,360	41,502	154,625	147,323
Office Occupancy and Administration	6,902	8,018	21,130	21,698
Data Processing	7,171	8,392	31,995	25,107
Memberships and Registrations	4,292	4,366	12,291	13,116
Travel and Incidentals	1,298	286	1,855	655
Insurance and Other	11,500	9,619	30,793	28,768
Total Expenses	471,494	459,754	994,402	1,831,151
Net Income/(Loss)	\$ (1,887)	\$ (78,373)	\$ (314,149)	\$ 2,948