

CU Investment Solutions LLC
Statements of Financial Condition

	January 31,		September 30,
	2023 <i>(unaudited)</i>	2022 <i>(audited)</i>	2022 <i>(audited)</i>
Assets			
Cash	\$ 603,081	\$1,777,164	\$ 1,140,325
Investments, at fair value	2,769,628	2,222,140	2,738,689
Receivables:			
Commissions	30,858	532,042	197,090
Advisory Fees	17,032	17,032	8,632
Accrued Interest	10,290	8,756	6,153
Other	34,213	34,046	-
Total Receivables	<u>92,393</u>	<u>591,876</u>	<u>211,875</u>
Operating Lease	92,926	40,570	108,947
Other Assets	74,238	63,750	49,305
	<u>167,165</u>	<u>104,320</u>	<u>158,252</u>
Total Assets	<u>\$ 3,632,267</u>	<u>\$ 4,695,500</u>	<u>\$ 4,249,142</u>
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 20,062	\$ 328,109	\$ 116,077
Accrued Liabilities	241,710	196,925	326,125
Operating Lease Liability	92,926	40,570	108,947
Accounts Payable	20,298	19,676	16,884
Total Liabilities	<u>374,996</u>	<u>585,280</u>	<u>568,032</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	2,276,800	3,129,750	2,700,639
Total Members' Equity	<u>3,257,270</u>	<u>4,110,220</u>	<u>3,681,109</u>
Total Liabilities and Members' Equity	<u>\$ 3,632,267</u>	<u>\$ 4,695,500</u>	<u>\$ 4,249,142</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended January 31		Four Months Ended January 31	
	2023	2022	2023	2022
Revenue				
Corporate Agent Program	\$ 34,324	\$ 509,759	\$ 652,461	\$ 2,192,055
Financial Product Sales	-	26,000	5,200	155,355
Advisory and Other Income	43,032	43,032	77,328	77,328
Net Interest Income	4,886	2,533	19,523	9,033
Total Revenue	82,242	581,324	754,512	2,433,771
Net Losses on Investment				
Securities	17,245	(26,401)	25,227	(44,749)
Expenses				
Salaries and Benefits	\$ 123,393	\$ 113,059	\$ 448,851	\$ 432,069
Commissions	20,670	343,612	436,924	1,619,086
Professional and Outside Services	38,120	39,478	192,746	186,801
Office Occupancy and Administration	6,987	7,224	28,117	28,922
Data Processing	7,127	6,856	39,122	31,963
Memberships and Registrations	1,315	2,127	13,607	15,244
Travel and Incidentals	1,569	240	3,424	895
Insurance and Other	9,995	10,619	40,789	39,387
Total Expenses	209,176	523,216	1,203,579	2,354,367
Net Income/(Loss)	\$ (109,690)	\$ 31,707	\$ (423,839)	\$ 34,655