

CU Investment Solutions LLC
Statements of Financial Condition

	February 28,		September 30,
	2023 <i>(unaudited)</i>	2022 <i>(audited)</i>	2022 <i>(audited)</i>
Assets			
Cash	\$ 723,454	\$1,825,784	\$ 1,140,325
Investments, at fair value	2,496,212	2,204,173	2,738,689
Receivables:			
Commissions	69,102	721,032	197,090
Advisory Fees	13,098	8,632	8,632
Accrued Interest	10,341	9,106	6,153
Other	2,449	13,075	-
Total Receivables	<u>94,990</u>	<u>751,845</u>	<u>211,875</u>
Operating Lease	87,721	36,133	108,947
Other Assets	103,828	93,603	49,305
	<u>191,549</u>	<u>129,737</u>	<u>158,252</u>
Total Assets	<u>\$ 3,506,205</u>	<u>\$ 4,911,538</u>	<u>\$ 4,249,142</u>
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 42,609	\$ 475,713	\$ 116,077
Accrued Liabilities	256,560	236,274	326,125
Operating Lease Liability	87,721	36,133	108,947
Accounts Payable	19,365	25,679	16,884
Total Liabilities	<u>406,255</u>	<u>773,799</u>	<u>568,032</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	2,119,479	3,157,269	2,700,639
Total Members' Equity	<u>3,099,949</u>	<u>4,137,739</u>	<u>3,681,109</u>
Total Liabilities and Members' Equity	<u>\$ 3,506,205</u>	<u>\$ 4,911,538</u>	<u>\$ 4,249,142</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended February 28		Five Months Ended February 28	
	2023	2022	2023	2022
Revenue				
Corporate Agent Program	\$ 67,774	\$ 667,964	\$ 720,235	\$ 2,860,020
Financial Product Sales	1,328	48,721	6,528	204,076
Advisory and Other Income	8,632	8,632	85,960	85,960
Net Interest Income	4,524	2,466	24,047	11,499
Total Revenue	82,258	727,784	836,770	3,161,555
Net Losses on Investment				
Securities	(26,694)	(17,686)	(1,466)	(62,435)
Expenses				
Salaries and Benefits	\$ 105,172	\$ 113,755	\$ 554,023	\$ 545,824
Commissions	44,510	498,294	481,433	2,117,380
Professional and Outside Services	34,809	42,127	227,554	228,928
Office Occupancy and Administration	7,203	8,329	35,320	37,251
Data Processing	7,202	6,374	46,324	38,337
Memberships and Registrations	1,276	3,622	14,883	18,866
Travel and Incidentals	2,720	209	6,143	1,103
Insurance and Other	9,995	9,869	50,784	49,257
Total Expenses	212,885	682,579	1,416,464	3,036,945
Net Income/(Loss)	\$ (157,321)	\$ 27,519	\$ (581,160)	\$ 62,175