

CU Investment Solutions LLC
Statements of Financial Condition

	April 30,		September 30,
	2023 <i>(unaudited)</i>	2022 <i>(unaudited)</i>	2022 <i>(audited)</i>
Assets			
Cash	\$ 522,576	\$ 1,507,193	\$ 1,140,325
Investments, at fair value	2,534,681	2,606,097	2,738,689
Receivables:			
Commissions	61,384	718,893	197,090
Advisory Fees	17,032	17,032	8,632
Accrued Interest	6,835	9,521	6,153
Other	150	620	0
Total Receivables	<u>85,401</u>	<u>746,066</u>	<u>211,875</u>
Operating Lease	79,544	27,207	108,947
Other Assets	58,616	65,637	49,305
	<u>138,160</u>	<u>92,844</u>	<u>158,252</u>
Total Assets	<u>\$ 3,280,817</u>	<u>\$ 4,952,199</u>	<u>\$ 4,249,142</u>
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 24,868	\$ 414,301	\$ 116,077
Accrued Liabilities	305,717	271,506	326,125
Operating Lease Liability	79,544	27,207	108,947
Accounts Payable	18,865	23,935	16,884
Total Liabilities	<u>428,995</u>	<u>736,949</u>	<u>568,032</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	1,871,353	3,234,781	2,700,639
Total Members' Equity	<u>2,851,823</u>	<u>4,215,251</u>	<u>3,681,109</u>
Total Liabilities and Members' Equity	<u>\$ 3,280,817</u>	<u>\$ 4,952,199</u>	<u>\$ 4,249,142</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended April 30,		Seven Months Ended April 30,	
	2023	2022	2023	2022
Revenue				
Corporate Agent Program	\$ 44,727	\$ 572,816	\$ 826,795	\$ 4,501,781
Financial Product Sales	3,439	17,528	13,249	308,077
Advisory and Other Income	17,032	17,032	112,114	113,764
Net Interest Income	4,508	3,187	33,248	17,402
Total Revenue	69,707	610,564	985,406	4,941,025
Net Gains (Losses) on Investment Securities				
	5,050	(33,712)	34,232	(149,189)
Expenses				
Salaries and Benefits	\$ 114,193	\$ 114,215	\$ 781,967	\$ 772,145
Commissions	26,520	429,906	546,219	3,359,331
Professional and Outside Services	40,333	40,757	302,100	319,278
Office Occupancy and Administration	7,074	7,224	49,369	51,639
Data Processing	9,911	7,187	64,119	52,845
Memberships and Registrations	2,888	5,061	19,043	26,884
Travel and Incidentals	2,516	430	15,332	1,533
Insurance and Other	9,995	9,619	70,774	68,495
Total Expenses	213,430	614,400	1,848,924	4,652,149
Net Income/(Loss)	\$ (138,673)	\$ (37,548)	\$ (829,287)	\$ 139,686