

CU Investment Solutions LLC
Statements of Financial Condition

	May 31,		September 30,
	2023 <i>(unaudited)</i>	2022 <i>(unaudited)</i>	2022 <i>(audited)</i>
Assets			
Cash	\$ 390,615	\$ 1,468,727	\$ 1,140,325
Investments, at fair value	2,523,281	2,609,605	2,738,689
Receivables:			
Commissions	80,464	497,913	197,090
Advisory Fees	8,632	8,632	8,632
Accrued Interest	6,639	8,479	6,153
Total Receivables	<u>95,735</u>	<u>515,024</u>	<u>211,875</u>
Operating Lease	75,361	128,791	108,947
Other Assets	86,869	91,927	49,305
	<u>162,230</u>	<u>220,717</u>	<u>158,252</u>
Total Assets	<u>\$ 3,171,860</u>	<u>\$ 4,814,074</u>	<u>\$ 4,249,142</u>
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 43,578	\$ 219,955	\$ 116,077
Accrued Liabilities	328,826	274,395	326,125
Operating Lease Liability	75,361	128,791	108,947
Accounts Payable	16,960	26,328	16,884
Total Liabilities	<u>464,725</u>	<u>649,469</u>	<u>568,032</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	1,726,665	3,184,135	2,700,639
Total Members' Equity	<u>2,707,135</u>	<u>4,164,605</u>	<u>3,681,109</u>
Total Liabilities and Members' Equity	<u>\$ 3,171,860</u>	<u>\$ 4,814,074</u>	<u>\$ 4,249,142</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended May 31,		Eight Months Ended May 31,	
	2023	2022	2023	2022
Revenue				
Corporate Agent Program	\$ 69,978	\$ 300,061	\$ 896,773	\$ 4,801,842
Financial Product Sales	9,448	48,486	22,697	356,563
Advisory and Other Income	8,632	8,632	120,746	122,396
Net Interest Income	4,489	3,649	37,737	21,051
Total Revenue	92,548	360,828	1,077,953	5,301,853
Net Gains (Losses) on Investment Securities	(12,808)	3,355	21,424	(145,835)
Expenses				
Salaries and Benefits	\$ 113,398	\$ 112,073	\$ 895,365	\$ 884,218
Commissions	46,791	234,206	593,011	3,593,537
Professional and Outside Services	35,086	38,545	337,186	357,823
Office Occupancy and Administration	6,965	7,283	56,334	58,922
Data Processing	6,851	6,932	70,969	59,777
Memberships and Registrations	4,460	4,622	23,503	31,507
Travel and Incidentals	881	1,548	16,214	3,081
Insurance and Other	9,995	9,619	80,769	78,114
Total Expenses	224,427	414,828	2,073,352	5,066,978
Net Income/(Loss)	\$ (144,688)	\$ (50,646)	\$ (973,974)	\$ 89,040