

CU Investment Solutions LLC
Statements of Financial Condition

	June 30,		September 30,
	2023 <i>(unaudited)</i>	2022 <i>(unaudited)</i>	2022 <i>(audited)</i>
Assets			
Cash	\$ 310,101	\$ 1,826,889	\$ 1,140,325
Investments, at fair value	2,506,872	2,346,006	2,738,689
Receivables:			
Commissions	78,506	489,908	197,090
Advisory Fees	8,632	8,632	8,632
Accrued Interest	5,328	6,476	6,153
Total Receivables	<u>92,466</u>	<u>505,016</u>	<u>211,875</u>
Operating Lease	71,298	124,662	108,947
Other Assets	59,871	63,632	49,305
	<u>131,169</u>	<u>188,294</u>	<u>158,252</u>
Total Assets	<u>\$ 3,040,608</u>	<u>\$ 4,866,205</u>	<u>\$ 4,249,142</u>
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 35,130	\$ 324,811	\$ 116,077
Accrued Liabilities	342,979	293,100	326,125
Operating Lease Liability	71,298	124,662	108,947
Accounts Payable	31,570	30,834	16,884
Total Liabilities	<u>480,976</u>	<u>773,408</u>	<u>568,032</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	1,579,162	3,106,328	2,700,639
Total Members' Equity	<u>2,559,632</u>	<u>4,086,798</u>	<u>3,681,109</u>
Total Liabilities and Members' Equity	<u>\$ 3,040,608</u>	<u>\$ 4,860,205</u>	<u>\$ 4,249,142</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended June 30,		Nine Months Ended June 30,	
	2023	2022	2023	2022
Revenue				
Corporate Agent Program	\$ 166,458	\$ 447,317	\$ 1,063,231	\$ 5,249,160
Financial Product Sales	14,063	0	36,760	356,563
Advisory and Other Income	8,632	8,632	129,378	131,028
Net Interest Income	4,333	3,385	42,071	24,436
Total Revenue	193,486	459,334	1,271,440	5,761,187
Net Gains (Losses) on Investment Securities	(17,772)	(15,737)	3,652	(161,571)
Expenses				
Salaries and Benefits	\$ 113,517	\$ 111,824	\$ 1,008,883	\$ 996,042
Commissions	143,221	334,892	736,231	3,928,429
Professional and Outside Services	38,008	40,441	375,195	398,263
Office Occupancy and Administration	7,127	6,514	63,461	65,436
Data Processing	8,369	10,023	79,339	69,799
Memberships and Registrations	3,438	6,226	26,941	37,732
Travel and Incidentals	225	1,867	16,438	4,948
Insurance and Other	9,312	9,619	90,081	87,733
Total Expenses	323,217	521,404	2,396,568	5,588,382
Net Income/(Loss)	\$ (147,503)	\$ (77,807)	\$ (1,121,477)	\$ 11,233