

CU Investment Solutions LLC
Statements of Financial Condition

	July 31,		September 30,
	2023 <i>(unaudited)</i>	2022 <i>(unaudited)</i>	2022 <i>(audited)</i>
Assets			
Cash	\$ 214,937	\$ 1,548,613	\$ 1,140,325
Investments, at fair value	2,516,465	2,595,054	2,738,689
Receivables:			
Commissions	34,002	230,944	197,090
Advisory Fees	17,032	17,032	8,632
Accrued Interest	8,101	9,902	6,153
Total Receivables	<u>59,135</u>	<u>257,878</u>	<u>211,875</u>
Operating Lease	67,091	120,516	108,947
Other Assets	34,129	38,262	49,305
	<u>101,220</u>	<u>158,778</u>	<u>158,252</u>
Total Assets	<u>\$ 2,891,756</u>	<u>\$ 4,560,323</u>	<u>\$ 4,249,142</u>
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 21,112	\$ 150,108	\$ 116,077
Accrued Liabilities	356,140	311,240	326,125
Operating Lease Liability	67,091	120,516	108,947
Accounts Payable	27,488	21,152	16,884
Total Liabilities	<u>471,831</u>	<u>603,016</u>	<u>568,032</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	1,439,455	2,976,837	2,700,639
Total Members' Equity	<u>2,419,925</u>	<u>3,957,307</u>	<u>3,681,109</u>
Total Liabilities and Members' Equity	<u>\$ 2,891,756</u>	<u>\$ 4,560,323</u>	<u>\$ 4,249,142</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended July 31,		Ten Months Ended July 31,	
	2023	2022	2023	2022
Revenue				
Corporate Agent Program	\$ 24,002	\$ 204,155	\$ 887,233	\$ 5,453,314
Financial Product Sales	0	15,257	36,760	371,820
Placement Fees	5,000	0	205,000	0
Advisory and Other Income	17,032	17,032	146,410	148,060
Net Interest Income	4,291	3,734	46,362	28,170
Total Revenue	50,325	240,178	1,321,764	6,001,364
Net Gains (Losses) on Investment Securities				
	8,185	12,810	11,837	(148,762)
Expenses				
Salaries and Benefits	\$ 113,546	\$ 117,319	\$ 1,122,429	\$ 1,113,360
Commissions	21,712	157,067	757,943	4,085,496
Professional and Outside Services	33,057	76,400	408,251	474,663
Office Occupancy and Administration	7,063	8,318	70,524	73,754
Data Processing	7,411	6,025	86,750	75,824
Memberships and Registrations	5,549	4,467	32,490	42,199
Travel and Incidentals	3,217	3,967	19,655	8,915
Insurance and Other	6,662	8,916	96,743	96,649
Total Expenses	198,217	382,478	2,594,785	5,970,860
Net Income/(Loss)	\$ (139,707)	\$ (129,491)	\$ (1,261,184)	\$ (118,258)