

CU Investment Solutions LLC
Statements of Financial Condition

	August 31,		September 30,
	2023 <i>(unaudited)</i>	2022 <i>(unaudited)</i>	2022 <i>(audited)</i>
Assets			
Cash	\$ 278,110	\$ 1,441,665	\$ 1,140,325
Investments, at fair value	2,282,568	2,566,555	2,738,689
Receivables:			
Commissions	378,766	189,019	197,090
Advisory Fees	8,632	17,032	8,632
Accrued Interest	9,975	10,293	6,153
Total Receivables	<u>397,374</u>	<u>216,344</u>	<u>211,875</u>
Operating Lease	62,972	116,352	108,947
Other Assets	67,543	77,666	49,305
	<u>130,515</u>	<u>194,018</u>	<u>158,252</u>
Total Assets	<u>\$ 3,088,568</u>	<u>\$ 4,418,582</u>	<u>\$ 4,249,142</u>
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 264,332	\$ 139,993	\$ 116,077
Accrued Liabilities	379,669	327,621	326,125
Operating Lease Liability	62,972	116,352	108,947
Accounts Payable	26,469	25,368	16,884
Total Liabilities	<u>733,443</u>	<u>609,334</u>	<u>568,032</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	1,374,655	2,828,778	2,700,639
Total Members' Equity	<u>2,355,125</u>	<u>3,809,248</u>	<u>3,681,109</u>
Total Liabilities and Members' Equity	<u>\$ 3,088,568</u>	<u>\$ 4,418,582</u>	<u>\$ 4,249,142</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended August 31,		Eleven Months Ended August 31,	
	2023	2022	2023	2022
Revenue				
Corporate Agent Program	\$ 377,821	\$ 187,194	\$ 1,265,054	\$ 5,640,508
Financial Product Sales	-	-	36,760	371,820
Placement Fees	-	-	205,000	-
Advisory and Other Income	8,632	8,632	155,042	156,692
Net Interest Income	3,745	4,212	50,107	32,382
Total Revenue	390,199	200,038	1,711,963	6,201,402
Net Gains (Losses) on Investment Securities				
	2,202	(29,214)	14,039	(177,976)
Expenses				
Salaries and Benefits	\$ 112,562	\$ 105,299	\$ 1,234,991	\$ 1,218,659
Commissions	273,778	144,205	1,031,721	4,229,701
Professional and Outside Services	40,776	36,792	449,027	511,455
Office Occupancy and Administration	7,237	6,086	77,762	79,840
Data Processing	7,625	9,460	94,375	85,285
Memberships and Registrations	6,744	5,954	39,233	48,153
Travel and Incidentals	4,317	4,710	23,972	13,626
Insurance and Other	4,162	6,376	100,905	103,024
Total Expenses	457,201	318,882	3,051,986	6,289,743
Net Income/(Loss)	\$ (64,800)	\$ (148,058)	\$ (1,325,985)	\$ (266,316)