

CU Investment Solutions LLC
Statements of Financial Condition

	September 30, 2023 <i>(unaudited)</i>	September 30, 2022 <i>(audited)</i>
Assets		
Cash	\$ 745,369	\$ 1,140,325
Investments, at fair value	1,796,397	2,738,689
Receivables:		
Commissions	79,990	197,090
Advisory Fees	8,632	8,632
Accrued Interest	8,359	6,153
Other	7,108	0
Total Receivables	<u>104,089</u>	<u>211,875</u>
Operating Lease	58,819	108,947
Other Assets	46,299	49,305
	<u>105,117</u>	<u>158,252</u>
Total Assets	<u><u>\$ 2,750,972</u></u>	<u><u>\$ 4,249,142</u></u>
Liabilities and Equity		
Liabilities:		
Commissions Payable	\$ 55,159	\$ 116,077
Accrued Liabilities	368,396	326,125
Operating Lease Liability	58,819	108,947
Accounts Payable	30,828	16,884
Total Liabilities	<u>513,202</u>	<u>568,032</u>
Members' Equity:		
Members' Shares	900,000	900,000
Member Paid-In Capital	80,470	80,470
Retained Earnings	1,257,300	2,700,639
Total Members' Equity	<u>2,237,770</u>	<u>3,681,109</u>
Total Liabilities and Members' Equity	<u><u>\$ 2,750,972</u></u>	<u><u>\$ 4,249,142</u></u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended September 30,		Twelve Months Ended September 30,	
	2023	2022	2023	2022
Revenue				
Corporate Agent Program	\$ 76,572	\$ 162,730	\$ 1,341,626	\$ 5,803,238
Financial Product Sales	2,031	16,757	38,791	388,577
Placement Fees	-	-	205,000	-
Advisory and Other Income	8,632	10,512	163,674	167,204
Net Interest Income	3,357	4,368	53,464	36,750
Total Revenue	90,593	194,367	1,802,555	6,395,769
Net Gains (Losses) on Investment Securities	(5,722)	(61,823)	8,317	(239,798)
Expenses				
Salaries and Benefits	\$ 110,044	\$ 111,598	\$ 1,345,035	\$ 1,330,257
Commissions	57,388	122,335	1,089,109	4,352,036
Professional and Outside Services	39,192	39,917	488,220	551,372
Office Occupancy and Administration	7,259	6,987	85,020	86,827
Data Processing	7,805	5,221	102,181	90,506
Memberships and Registrations	3,841	4,892	43,075	53,045
Travel and Incidentals	138	171	24,110	13,797
Insurance and Other	(23,442)	(30,438)	77,463	72,586
Total Expenses	202,225	260,683	3,254,211	6,550,426
Net Income/(Loss)	\$ (117,355)	\$ (128,139)	\$ (1,443,339)	\$ (394,455)