

CU Investment Solutions LLC
Statements of Financial Condition

	December 31,		September 30,
	2023	2022	2023
	<i>(unaudited)</i>	<i>(unaudited)</i>	<i>(audited)</i>
Assets			
Cash	\$ 358,185	\$ 657,223	\$ 745,369
Investments, at fair value	1,600,091	2,750,944	1,796,397
Receivables:			
Commissions	128,826	370,828	79,990
Advisory Fees	8,632	8,632	8,632
Accrued Interest	2,984	11,200	8,359
Other	150,000	0	7,108
Total Receivables	<u>290,442</u>	<u>390,660</u>	<u>104,089</u>
Operating Lease	45,907	96,971	58,819
Other Assets	104,343	97,896	46,299
	<u>150,251</u>	<u>194,868</u>	<u>105,117</u>
Total Assets	<u><u>\$ 2,398,968</u></u>	<u><u>\$ 3,993,694</u></u>	<u><u>\$ 2,750,972</u></u>
Liabilities and Equity			
Liabilities:			
Commissions Payable	\$ 222,877	\$ 277,112	\$ 55,159
Accrued Liabilities	257,770	226,760	368,396
Operating Lease Liability	45,907	96,971	58,819
Accounts Payable	49,072	25,890	30,828
Total Liabilities	<u>575,627</u>	<u>626,734</u>	<u>513,202</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	842,872	2,386,490	1,257,300
Total Members' Equity	<u>1,823,342</u>	<u>3,366,960</u>	<u>2,237,770</u>
Total Liabilities and Members' Equity	<u><u>\$ 2,398,968</u></u>	<u><u>\$ 3,993,694</u></u>	<u><u>\$ 2,750,972</u></u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended December 31,		Three Months Ended December 31,	
	2023	2022	2023	2022
Revenue				
Corporate Agent Program	\$ 115,445	\$ 443,601	\$ 324,605	\$ 618,137
Placement Fees	150,000	-	150,000	-
Financial Product Sales	12,500	5,200	31,113	5,200
Advisory and Other Income	8,632	8,632	34,296	34,296
Net Interest Income	2,085	4,847	6,944	14,637
Total Revenue	288,663	462,280	546,958	672,270
Net Gains (Losses) on Investment Securities				
	22,749	7,328	47,350	7,983
Expenses				
Salaries and Benefits	\$ 109,288	\$ 107,964	\$ 330,832	\$ 325,458
Commissions	227,700	287,008	383,356	416,254
Professional and Outside Services	40,893	45,360	188,753	154,625
Office Occupancy and Administration	7,522	6,902	22,003	21,130
Data Processing	10,021	7,171	24,016	31,995
Memberships and Registrations	3,127	4,292	12,147	12,291
Travel and Incidentals	1,323	1,298	3,424	1,855
Insurance and Other	23,324	11,500	44,205	30,793
Total Expenses	423,199	471,494	1,008,737	994,402
Net Income/(Loss)	\$ (111,787)	\$ (1,887)	\$ (414,429)	\$ (314,149)