

**CU Investment Solutions LLC**  
**Statements of Financial Condition**

	March 31,		September 30,
	2024	2023	2023
	<i>(unaudited)</i>	<i>(unaudited)</i>	<i>(audited)</i>
<b>Assets</b>			
Cash	\$ 1,091,058	\$ 644,061	\$ 745,369
Investments, at fair value	2,096,203	2,528,268	1,796,397
Receivables:			
Commissions	158,943	72,042	79,990
Advisory Fees	8,632	8,632	8,632
Accrued Interest	5,099	5,490	8,359
Other	0	150	7,108
Total Receivables	<u>172,674</u>	<u>86,314</u>	<u>104,089</u>
Operating Lease	32,543	83,557	58,819
Other Assets	<u>71,343</u>	<u>68,775</u>	<u>46,299</u>
	103,886	152,332	105,117
<b>Total Assets</b>	<u><u>\$ 3,463,822</u></u>	<u><u>\$ 3,410,976</u></u>	<u><u>\$ 2,750,972</u></u>
<b>Liabilities and Equity</b>			
Liabilities:			
Deferred Revenue	\$ 1,125,000	\$ -	\$ -
Commissions Payable	78,371	36,212	55,159
Accrued Liabilities	312,180	286,261	368,396
Operating Lease Liability	32,543	83,557	58,819
Accounts Payable	<u>21,828</u>	<u>14,450</u>	<u>30,828</u>
Total Liabilities	1,569,921	420,480	513,202
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	<u>913,430</u>	<u>2,010,025</u>	<u>1,257,300</u>
Total Members' Equity	<u>1,893,900</u>	<u>2,990,495</u>	<u>2,237,770</u>
<b>Total Liabilities and Members' Equity</b>	<u><u>\$ 3,463,822</u></u>	<u><u>\$ 3,410,976</u></u>	<u><u>\$ 2,750,972</u></u>

**CU Investment Solutions LLC**  
**Statement of Income (unaudited)**

	One Month Ended March 31,		Six Months Ended March 31,	
	2024	2023	2024	2023
<b>Revenue</b>				
Corporate Agent Program	\$ 122,299	\$ 61,832	\$ 710,184	\$ 692,067
Platform Fees	125,000	-	375,000	-
Placement Fees	22,500	-	172,500	90,000
Financial Product Sales	28,397	3,281	96,883	9,809
Advisory and Other Income	8,632	9,122	94,592	95,082
Net Interest Income	3,330	4,693	14,730	28,740
<b>Total Revenue</b>	<b>310,158</b>	<b>78,928</b>	<b>1,463,889</b>	<b>915,699</b>
Net Gains (Losses) on Investment Securities	(798)	30,648	50,341	29,182
<b>Expenses</b>				
Salaries and Benefits	\$ 113,993	\$ 113,751	\$ 676,056	\$ 667,774
Commissions	107,205	38,266	665,332	519,700
Professional and Outside Services	43,646	34,213	308,188	261,767
Office Occupancy and Administration	8,356	6,975	44,896	42,295
Data Processing	6,754	7,884	48,522	54,208
Memberships and Registrations	1,756	1,272	17,627	16,155
Travel and Incidentals	292	6,673	8,721	12,817
Insurance and Other	6,670	9,995	88,757	60,779
<b>Total Expenses</b>	<b>288,673</b>	<b>219,030</b>	<b>1,858,100</b>	<b>1,635,494</b>
<b>Net Income/(Loss)</b>	<b>\$ 20,687</b>	<b>\$ (109,454)</b>	<b>\$ (343,870)</b>	<b>\$ (690,614)</b>