

CU Investment Solutions LLC
Statements of Financial Condition

	April 30,		September 30,
	2024	2023	2023
	<i>(unaudited)</i>	<i>(unaudited)</i>	<i>(audited)</i>
Assets			
Cash	\$ 1,005,512	\$ 522,576	\$ 745,369
Investments, at fair value	2,088,013	2,534,681	1,796,397
Receivables:			
Commissions	322,940	61,384	79,990
Advisory Fees	17,032	17,032	8,632
Accrued Interest	5,689	6,835	8,359
Other	0	150	7,108
Total Receivables	<u>345,661</u>	<u>85,401</u>	<u>104,089</u>
Operating Lease	28,012	79,544	58,819
Other Assets	58,234	58,616	46,299
	<u>86,246</u>	<u>138,160</u>	<u>105,117</u>
Total Assets	<u>\$ 3,525,432</u>	<u>\$ 3,280,817</u>	<u>\$ 2,750,972</u>
Liabilities and Equity			
Liabilities:			
Deferred Revenue	\$ 1,000,000	\$ -	\$ -
Commissions Payable	180,802	24,868	55,159
Accrued Liabilities	336,025	305,717	368,396
Operating Lease Liability	28,012	79,544	58,819
Accounts Payable	21,509	18,865	30,828
Total Liabilities	<u>1,566,348</u>	<u>428,995</u>	<u>513,202</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	978,614	1,871,353	1,257,300
Total Members' Equity	<u>1,959,084</u>	<u>2,851,823</u>	<u>2,237,770</u>
Total Liabilities and Members' Equity	<u>\$ 3,525,432</u>	<u>\$ 3,280,817</u>	<u>\$ 2,750,972</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended		Seven Months Ended	
	April 30,		April 30,	
	2024	2023	2024	2023
Revenue				
Corporate Agent Program	\$ 264,276	\$ 44,727	\$ 974,459	\$ 736,795
Platform Fees	125,000	-	500,000	-
Placement Fees	-	-	172,500	90,000
Financial Product Sales	46,069	3,439	142,952	13,249
Advisory and Other Income	17,032	17,032	111,624	112,114
Net Interest Income	4,440	4,508	19,171	33,248
Total Revenue	456,817	69,707	1,920,706	985,406
Net Gains (Losses) on Investment Securities	(9,999)	5,050	40,341	34,232
Expenses				
Salaries and Benefits	\$ 114,495	\$ 114,193	\$ 790,551	\$ 781,967
Commissions	196,623	26,520	861,955	546,219
Professional and Outside Services	44,361	40,333	352,549	302,100
Office Occupancy and Administration	7,206	7,074	52,102	49,369
Data Processing	8,364	9,911	56,886	64,119
Memberships and Registrations	3,093	2,888	20,719	19,043
Travel and Incidentals	310	2,516	9,031	15,332
Insurance and Other	7,184	9,995	95,941	70,774
Total Expenses	381,635	213,430	2,239,734	1,848,924
Net Income/(Loss)	\$ 65,183	\$ (138,673)	\$ (278,686)	\$ (829,287)