

CU Investment Solutions LLC
Statements of Financial Condition

	June 30,		September 30,
	2024 <i>(unaudited)</i>	2023 <i>(unaudited)</i>	2023 <i>(audited)</i>
Assets			
Cash	\$ 909,813	\$ 310,101	\$ 745,369
Investments, at fair value	2,099,113	2,506,872	1,796,397
Receivables:			
Commissions	247,275	78,506	79,990
Advisory Fees	8,632	8,632	8,632
Accrued Interest	2,984	5,328	8,359
Other	0	0	7,108
Total Receivables	<u>258,891</u>	<u>92,466</u>	<u>104,089</u>
Operating Lease	18,833	71,298	58,819
Other Assets	62,001	59,871	46,299
	<u>80,834</u>	<u>131,169</u>	<u>105,117</u>
Total Assets	<u><u>\$ 3,348,651</u></u>	<u><u>\$ 3,040,608</u></u>	<u><u>\$ 2,750,972</u></u>
Liabilities and Equity			
Liabilities:			
Deferred Revenue	\$ 750,000	\$ -	\$ -
Commissions Payable	129,836	35,130	55,159
Accrued Liabilities	368,395	342,979	368,396
Operating Lease Liability	18,833	71,298	58,819
Accounts Payable	29,239	31,570	30,828
Total Liabilities	<u>1,296,303</u>	<u>480,976</u>	<u>513,202</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	1,071,877	1,579,162	1,257,300
Total Members' Equity	<u>2,052,347</u>	<u>2,559,632</u>	<u>2,237,770</u>
Total Liabilities and Members' Equity	<u><u>\$ 3,348,651</u></u>	<u><u>\$ 3,040,608</u></u>	<u><u>\$ 2,750,972</u></u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended June 30,		Nine Months Ended June 30,	
	2024	2023	2024	2023
Revenue				
Corporate Agent Program	\$ 179,812	\$ 56,458	\$ 1,351,524	\$ 863,231
Platform Fees	125,000	-	750,000	-
Placement Fees	-	110,000	172,500	200,000
Financial Product Sales	58,045	14,063	212,622	36,760
Advisory and Other Income	8,632	8,632	128,888	129,378
Net Interest Income	4,064	4,333	27,744	42,071
Total Revenue	375,553	193,486	2,643,277	1,271,440
Net Gains (Losses) on Investment Securities				
	10,118	(17,772)	57,961	3,652
Expenses				
Salaries and Benefits	\$ 100,590	\$ 113,517	\$ 999,756	\$ 1,008,883
Commissions	142,588	143,221	1,146,550	736,231
Professional and Outside Services	51,018	38,008	452,101	375,195
Office Occupancy and Administration	7,255	7,127	66,513	63,461
Data Processing	7,494	8,369	72,077	79,339
Memberships and Registrations	4,608	3,438	28,636	26,941
Travel and Incidentals	113	225	9,249	16,438
Insurance and Other	9,170	9,312	111,781	90,081
Total Expenses	322,836	323,217	2,886,662	2,396,568
Net Income/(Loss)	\$ 62,835	\$ (147,503)	\$ (185,423)	\$ (1,121,477)