

CU Investment Solutions LLC
Statements of Financial Condition

	July 31,		September 30,
	2024 <i>(unaudited)</i>	2023 <i>(unaudited)</i>	2023 <i>(audited)</i>
Assets			
Cash	\$ 919,852	\$ 214,937	\$ 745,369
Investments, at fair value	2,119,656	2,516,465	1,796,397
Receivables:			
Commissions	295,347	34,002	79,990
Advisory Fees	17,032	17,032	8,632
Accrued Interest	5,002	8,101	8,359
Other	0	0	7,108
Total Receivables	<u>317,381</u>	<u>59,135</u>	<u>104,089</u>
Operating Lease	14,184	67,091	58,819
Other Assets	34,739	34,129	46,299
	<u>48,924</u>	<u>101,220</u>	<u>105,117</u>
Total Assets	<u><u>\$ 3,405,813</u></u>	<u><u>\$ 2,891,756</u></u>	<u><u>\$ 2,750,972</u></u>
Liabilities and Equity			
Liabilities:			
Deferred Revenue	\$ 625,000	\$ -	\$ -
Commissions Payable	186,509	21,112	55,159
Accrued Liabilities	388,154	356,140	368,396
Operating Lease Liability	14,184	67,091	58,819
Accounts Payable	27,652	27,488	30,828
Total Liabilities	<u>1,241,500</u>	<u>471,831</u>	<u>513,202</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	<u>1,183,844</u>	<u>1,439,455</u>	<u>1,257,300</u>
Total Members' Equity	<u>2,164,314</u>	<u>2,419,925</u>	<u>2,237,770</u>
Total Liabilities and Members' Equity	<u><u>\$ 3,405,813</u></u>	<u><u>\$ 2,891,756</u></u>	<u><u>\$ 2,750,972</u></u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended July 31,		Ten Months Ended July 31,	
	2024	2023	2024	2023
Revenue				
Corporate Agent Program	\$ 259,695	\$ 24,002	\$ 1,611,219	\$ 887,233
Platform Fees	125,000	-	875,000	-
Placement Fees	35,000	5,000	207,500	205,000
Financial Product Sales	51,000	-	263,622	36,760
Advisory and Other Income	17,032	17,032	145,920	146,410
Net Interest Income	4,431	4,291	32,175	46,362
Total Revenue	492,158	50,325	3,135,436	1,321,764
Net Gains (Losses) on Investment Securities				
	18,695	8,185	76,656	11,837
Expenses				
Salaries and Benefits	\$ 94,663	\$ 113,546	\$ 1,094,419	\$ 1,122,429
Commissions	233,252	21,712	1,379,802	757,943
Professional and Outside Services	42,289	33,057	494,390	408,251
Office Occupancy and Administration	7,158	7,063	73,671	70,524
Data Processing	7,620	7,411	79,697	86,750
Memberships and Registrations	5,771	5,549	34,407	32,490
Travel and Incidentals	1,463	3,217	10,712	19,655
Insurance and Other	6,670	6,662	118,452	96,743
Total Expenses	398,887	198,217	3,285,549	2,594,785
Net Income/(Loss)	\$ 111,966	\$ (139,707)	\$ (73,457)	\$ (1,261,184)