

CU Investment Solutions LLC
Statements of Financial Condition

	November 30,		September 30,
	2024	2023	2024
	<i>(unaudited)</i>	<i>(unaudited)</i>	<i>(unaudited)</i>
Assets			
Cash	\$ 533,228	\$ 265,957	\$ 1,081,014
Investments, at fair value	2,192,803	1,820,198	1,903,732
Receivables:			
Commissions	369,653	169,570	425,601
Advisory Fees	12,866	13,098	8,932
Accrued Interest	5,029	6,123	5,078
Other	0	0	9,471
Total Receivables	<u>387,548</u>	<u>188,791</u>	<u>449,081</u>
Operating Lease	68,860	50,287	77,187
Other Assets	89,563	100,698	35,327
	<u>158,423</u>	<u>150,985</u>	<u>112,513</u>
Total Assets	<u>\$ 3,272,003</u>	<u>\$ 2,425,931</u>	<u>\$ 3,546,341</u>
Liabilities and Equity			
Liabilities:			
Deferred Revenue	\$ 125,000	\$ -	\$ 375,000
Commissions Payable	185,020	108,143	308,473
Accrued Liabilities	329,173	241,591	415,785
Operating Lease Liability	68,860	50,287	77,187
Accounts Payable	83,700	90,780	55,773
Total Liabilities	<u>791,753</u>	<u>490,802</u>	<u>1,232,218</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	1,499,780	954,658	1,333,653
Total Members' Equity	<u>2,480,250</u>	<u>1,935,128</u>	<u>2,314,123</u>
Total Liabilities and Members' Equity	<u>\$ 3,272,003</u>	<u>\$ 2,425,931</u>	<u>\$ 3,546,341</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended November 30,		Two Months Ended November 30,	
	2024	2023	2024	2023
Revenue				
Corporate Agent Program	\$ 266,789	\$ 153,399	\$ 727,075	\$ 209,160
Platform Fees	125,000	-	250,000	-
Placement Fees	-	-	100,000	-
Financial Product Sales	78,642	16,113	108,754	18,613
Advisory and Other Income	8,632	8,632	25,664	25,664
Net Interest Income	3,580	2,381	7,026	4,859
Total Revenue	482,643	180,524	1,218,520	258,296
Net Gains (Losses) on Investment Securities				
	854	20,020	(9,406)	24,600
Expenses				
Salaries and Benefits	\$ 100,836	\$ 109,145	\$ 204,755	\$ 221,545
Commissions	202,819	114,476	640,579	155,656
Professional and Outside Services	101,325	67,850	138,994	147,860
Office Occupancy and Administration	7,101	7,312	14,718	14,480
Data Processing	7,335	7,105	14,901	13,995
Memberships and Registrations	3,266	6,053	7,647	9,019
Travel and Incidentals	-	253	-	2,101
Insurance and Other	10,696	10,878	21,392	20,881
Total Expenses	433,378	323,072	1,042,986	585,538
Net Income/(Loss)	\$ 50,119	\$ (122,527)	\$ 166,127	\$ (302,642)