

CU Investment Solutions LLC
Statements of Financial Condition

	January 31,		September 30,
	2025	2024	2024
	<i>(unaudited)</i>	<i>(unaudited)</i>	<i>(audited)</i>
Assets			
Cash	\$ 1,363,921	\$ 1,160,729	\$ 1,081,014
Investments, at fair value	2,196,713	1,613,759	1,903,732
Receivables:			
Commissions	391,228	160,069	425,601
Advisory Fees	8,932	21,498	8,932
Accrued Interest	5,002	5,002	5,078
Other	149,416	607,210	9,471
Total Receivables	<u>554,578</u>	<u>793,779</u>	<u>449,081</u>
Operating Lease	60,569	41,490	77,187
Other Assets	70,454	67,883	35,327
	<u>131,023</u>	<u>109,373</u>	<u>112,513</u>
Total Assets	<u>\$ 4,246,235</u>	<u>\$ 3,677,641</u>	<u>\$ 3,546,341</u>
Liabilities and Equity			
Liabilities:			
Deferred Revenue	\$ 916,667	\$ 1,375,000	\$ 375,000
Commissions Payable	258,300	80,041	308,473
Accrued Liabilities	375,715	277,218	415,785
Operating Lease Liability	60,569	41,490	77,187
Accounts Payable	32,677	33,562	55,773
Total Liabilities	<u>1,643,928</u>	<u>1,807,312</u>	<u>1,232,218</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	1,621,837	889,859	1,333,653
Total Members' Equity	<u>2,602,307</u>	<u>1,870,329</u>	<u>2,314,123</u>
Total Liabilities and Members' Equity	<u>\$ 4,246,235</u>	<u>\$ 3,677,641</u>	<u>\$ 3,546,341</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended January 31,		Four Months Ended January 31,	
	2025	2024	2025	2024
Revenue				
Principal Transactions - CAP	\$ 365,063	\$ 125,697	\$ 1,407,996	\$ 450,302
Platform Fees	83,333	125,000	458,333	125,000
Placement Fees	-	-	100,000	150,000
Principal Transactions - FPS	20,161	34,372	159,249	65,485
Advisory and Other Income	29,032	43,032	63,328	77,328
Net Interest Income	3,786	1,919	14,775	8,863
Total Revenue	501,376	330,020	2,203,681	876,978
Net Gains (Losses) on Investment Securities				
	1,837	14,027	(3,588)	61,377
Expenses				
Salaries and Benefits	\$ 105,386	\$ 115,480	\$ 409,999	\$ 446,312
Commissions	270,646	88,511	1,146,305	471,868
Professional and Outside Services	39,570	37,757	229,743	226,510
Office Occupancy and Administration	7,326	7,187	29,119	29,189
Data Processing	10,595	10,211	33,034	34,227
Memberships and Registrations	7,981	2,036	19,146	14,183
Travel and Incidentals	-	4,666	270	8,091
Insurance and Other	10,696	31,211	44,292	75,416
Total Expenses	452,200	297,060	1,911,909	1,305,796
Net Income/(Loss)	\$ 51,013	\$ 46,987	\$ 288,184	\$ (367,441)