

CU Investment Solutions LLC
Statements of Financial Condition

	March 31,		September 30,
	2025 <i>(unaudited)</i>	2024 <i>(unaudited)</i>	2024 <i>(audited)</i>
Assets			
Cash	\$ 1,215,743	\$ 1,091,058	\$ 1,081,014
Investments, at fair value	2,405,582	2,096,203	1,903,732
Receivables:			
Commissions	535,867	158,943	425,601
Advisory Fees	8,932	8,632	8,932
Accrued Interest	5,203	5,099	5,078
Other	0	0	9,471
Total Receivables	<u>550,002</u>	<u>172,674</u>	<u>449,081</u>
Operating Lease	51,769	32,543	77,187
Other Assets	80,933	71,343	35,327
	<u>132,703</u>	<u>103,886</u>	<u>112,513</u>
Total Assets	<u><u>\$ 4,304,030</u></u>	<u><u>\$ 3,463,822</u></u>	<u><u>\$ 3,546,341</u></u>
Liabilities and Equity			
Liabilities:			
Deferred Revenue	\$ 750,000	\$ 1,125,000	\$ 375,000
Commissions Payable	342,283	78,371	308,473
Accrued Liabilities	433,802	312,180	415,785
Operating Lease Liability	51,769	32,543	77,187
Accounts Payable	17,385	21,828	55,773
Total Liabilities	<u>1,595,239</u>	<u>1,569,921</u>	<u>1,232,218</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	<u>1,728,321</u>	<u>913,430</u>	<u>1,333,653</u>
Total Members' Equity	<u>2,708,791</u>	<u>1,893,900</u>	<u>2,314,123</u>
Total Liabilities and Members' Equity	<u><u>\$ 4,304,030</u></u>	<u><u>\$ 3,463,822</u></u>	<u><u>\$ 3,546,341</u></u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended March 31,		Six Months Ended March 31,	
	2025	2024	2025	2024
Revenue				
Principal Transactions - CAP	\$ 480,229	\$ 122,299	\$ 2,204,041	\$ 710,184
Platform Fees	83,333	125,000	625,000	375,000
Placement Fees	-	22,500	100,000	172,500
Principal Transactions - FPS	48,640	28,397	238,891	96,883
Advisory and Other Income	4,466	8,632	72,260	94,592
Net Interest Income	4,828	3,330	24,470	14,730
Total Revenue	621,496	310,158	3,264,662	1,463,889
Net Gains (Losses) on Investment Securities	6,753	(798)	11,879	50,341
Expenses				
Salaries and Benefits	\$ 106,065	\$ 113,993	\$ 622,104	\$ 676,056
Commissions	360,385	107,205	1,746,689	665,332
Professional and Outside Services	43,858	43,646	312,175	308,188
Office Occupancy and Administration	7,334	8,356	43,908	44,896
Data Processing	1,631	6,754	41,711	48,522
Memberships and Registrations	7,087	1,756	47,163	17,627
Travel and Incidentals	-	292	2,439	8,721
Insurance and Other	10,696	6,670	65,683	88,757
Total Expenses	537,056	288,673	2,881,873	1,858,100
Net Income/(Loss)	\$ 91,194	\$ 20,687	\$ 394,668	\$ (343,870)