

CU Investment Solutions LLC
Statements of Financial Condition

	November 30,		September 30,
	2025	2024	2025
	(unaudited)	(unaudited)	(audited)
Assets			
Cash	\$ 957,994	\$ 533,228	\$ 1,000,004
Investments, at fair value	2,489,204	2,192,803	2,588,364
Receivables:			
Commissions	603,699	369,653	620,389
Advisory Fees	8,932	12,866	4,466
Accrued Interest	6,291	5,029	5,234
Other	0	0	9,803
Total Receivables	618,922	387,548	639,892
Fixed Assets / Operating Lease	25,210	68,860	24,089
Other Assets	103,166	89,563	40,570
	128,376	158,423	64,659
Total Assets	<u>\$ 4,194,495</u>	<u>\$ 3,272,003</u>	<u>\$ 4,292,919</u>
Liabilities and Equity			
Liabilities:			
Deferred Revenue	\$ 83,333	\$ 125,000	\$ 250,000
Commissions Payable	265,982	185,020	371,733
Accrued Liabilities	292,977	329,173	427,518
Operating Lease Liability	14,567	68,860	24,089
Accounts Payable	49,193	83,700	14,564
Total Liabilities	706,053	791,753	1,087,904
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	2,507,972	1,499,780	2,224,545
Total Members' Equity	3,488,442	2,480,250	3,205,015
Total Liabilities and Members' Equity	<u>\$ 4,194,495</u>	<u>\$ 3,272,003</u>	<u>\$ 4,292,919</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended November 30,		Two Months Ended November 30,	
	2025	2024	2025	2024
Revenue				
Principal Transactions - CAP	\$ 376,649	\$ 266,789	\$ 893,102	\$ 727,075
Platform Fees	83,333	125,000	166,667	250,000
Placement Fees	-	-	-	100,000
Principal Transactions - FPS	160,344	78,642	299,415	108,754
Advisory and Other Income	4,466	8,632	17,332	25,664
Net Interest Income	4,805	3,580	9,108	7,026
Total Revenue	629,597	482,643	1,385,623	1,218,520
Net Gains (Losses) on Investment Securities	(630)	854	1,800	(9,406)
Expenses				
Salaries and Benefits	\$ 97,663	\$ 100,836	\$ 198,490	\$ 204,755
Commissions	298,508	202,819	697,141	640,579
Professional and Outside Services	68,425	101,325	149,014	138,994
Office Occupancy and Administration	7,760	7,101	15,684	14,718
Data Processing	7,096	7,335	14,687	14,901
Memberships and Registrations	2,946	3,266	6,214	7,647
Travel and Incidentals	2,169	-	2,169	-
Insurance and Other	9,450	10,696	20,596	21,392
Total Expenses	494,018	433,378	1,103,995	1,042,986
Net Income/(Loss)	\$ 134,949	\$ 50,119	\$ 283,428	\$ 166,127