

CU Investment Solutions LLC
Statements of Financial Condition

	December 31,		September 30,
	2025	2024	2025
	(unaudited)	(unaudited)	(audited)
Assets			
Cash	\$ 750,565	\$ 741,544	\$ 1,000,004
Investments, at fair value	2,783,454	1,948,467	2,588,364
Receivables:			
Commissions	647,902	359,642	620,389
Advisory Fees	4,166	8,932	4,466
Accrued Interest	11,215	2,984	5,234
Other	0	0	9,803
Total Receivables	663,283	371,558	639,892
Fixed Assets / Operating Lease	76,572	64,843	24,089
Other Assets	119,403	94,302	40,570
	195,975	159,145	64,659
Total Assets	<u>\$ 4,393,277</u>	<u>\$ 3,220,714</u>	<u>\$ 4,292,919</u>
Liabilities and Equity			
Liabilities:			
Deferred Revenue	\$ -	\$ -	\$ 250,000
Commissions Payable	346,306	223,405	371,733
Accrued Liabilities	316,920	349,801	427,518
Operating Lease Liability	66,242	64,843	24,089
Accounts Payable	16,892	31,372	14,564
Total Liabilities	746,359	669,420	1,087,904
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	2,666,447	1,570,824	2,224,545
Total Members' Equity	3,646,917	2,551,294	3,205,015
Total Liabilities and Members' Equity	<u>\$ 4,393,277</u>	<u>\$ 3,220,714</u>	<u>\$ 4,292,919</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended December 31,		Three Months Ended December 31,	
	2025	2024	2025	2024
Revenue				
Principal Transactions - CAP	\$ 493,560	\$ 315,857	\$ 1,386,662	\$ 1,042,932
Platform Fees	83,333	125,000	250,000	375,000
Placement Fees	-	-	-	100,000
Principal Transactions - FPS	120,104	30,334	419,519	139,088
Advisory and Other Income	4,166	8,632	21,498	34,296
Net Interest Income	5,274	3,963	14,382	10,989
Total Revenue	706,438	483,785	2,092,061	1,702,305
Net Gains (Losses) on Investment Securities	5,020	3,981	6,820	(5,425)
Expenses				
Salaries and Benefits	\$ 99,162	\$ 99,857	\$ 297,652	\$ 304,613
Commissions	375,427	235,080	1,072,568	875,659
Professional and Outside Services	43,821	51,179	192,835	190,173
Office Occupancy and Administration	8,282	7,075	23,966	21,793
Data Processing	7,194	7,538	21,881	22,439
Memberships and Registrations	2,386	3,519	8,600	11,166
Travel and Incidentals	4,779	270	6,948	270
Insurance and Other	11,932	12,205	32,528	33,596
Total Expenses	552,983	416,723	1,656,978	1,459,709
Net Income/(Loss)	<u>\$ 158,475</u>	<u>\$ 71,044</u>	<u>\$ 441,903</u>	<u>\$ 237,171</u>