

CU Investment Solutions LLC
Statements of Financial Condition

	January 31,		September 30,
	2026	2025	2025
	<i>(unaudited)</i>	<i>(unaudited)</i>	<i>(audited)</i>
Assets			
Cash	\$ 1,475,452	\$ 1,363,921	\$ 1,000,004
Investments, at fair value	2,793,884	2,196,713	2,588,364
Receivables:			
Commissions	688,137	391,228	620,389
Advisory Fees	4,166	8,932	4,466
Accrued Interest	6,371	5,002	5,234
Other	210,668	149,416	9,803
Total Receivables	<u>909,342</u>	<u>554,578</u>	<u>639,892</u>
Fixed Assets / Operating Lease	71,801	60,569	24,089
Other Assets	67,800	70,454	40,570
	<u>139,601</u>	<u>131,023</u>	<u>64,659</u>
Total Assets	<u>\$ 5,318,279</u>	<u>\$ 4,246,235</u>	<u>\$ 4,292,919</u>
Liabilities and Equity			
Liabilities:			
Deferred Revenue	\$ 687,500	\$ 916,667	\$ 250,000
Commissions Payable	343,410	258,300	371,733
Accrued Liabilities	356,179	375,715	427,518
Operating Lease Liability	61,784	60,569	24,089
Accounts Payable	15,300	32,677	14,564
Total Liabilities	<u>1,464,174</u>	<u>1,643,928</u>	<u>1,087,904</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	2,873,634	1,621,837	2,224,545
Total Members' Equity	<u>3,854,104</u>	<u>2,602,307</u>	<u>3,205,015</u>
Total Liabilities and Members' Equity	<u>\$ 5,318,279</u>	<u>\$ 4,246,235</u>	<u>\$ 4,292,919</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended January 31,		Four Months Ended January 31,	
	2026	2025	2026	2025
Revenue				
Principal Transactions - CAP	\$ 488,571	\$ 365,063	\$ 1,875,232	\$ 1,407,996
Platform Fees	62,500	83,333	312,500	458,333
Placement Fees	-	-	-	100,000
Principal Transactions - FPS	186,989	20,161	606,509	159,249
Advisory and Other Income	24,566	29,032	46,064	63,328
Net Interest Income	5,505	3,786	19,887	14,775
Total Revenue	768,131	501,376	2,860,191	2,203,681
Net Gains (Losses) on Investment Securities				
	9,237	1,837	16,057	(3,588)
Expenses				
Salaries and Benefits	\$ 116,350	\$ 105,386	\$ 414,002	\$ 409,999
Commissions	382,452	270,646	1,455,019	1,146,305
Professional and Outside Services	38,527	39,570	231,362	229,743
Office Occupancy and Administration	7,818	7,326	31,784	29,119
Data Processing	11,282	10,595	33,164	33,034
Memberships and Registrations	3,324	7,981	11,924	19,146
Travel and Incidentals	-	-	6,948	270
Insurance and Other	10,428	10,696	42,956	44,292
Total Expenses	570,181	452,200	2,227,159	1,911,909
Net Income/(Loss)	\$ 207,187	\$ 51,013	\$ 649,090	\$ 288,184