

CU Investment Solutions LLC
Statements of Financial Condition

	February 28,		September 30,
	2026 <i>(unaudited)</i>	2025 <i>(unaudited)</i>	2025 <i>(audited)</i>
Assets			
Cash	\$ 1,127,636	\$ 1,263,355	\$ 1,000,004
Investments, at fair value	3,434,317	2,396,620	2,588,364
Receivables:			
Commissions	575,283	376,916	620,389
Advisory Fees	4,166	4,466	4,466
Accrued Interest	20,625	6,955	5,234
Other	2,944	12,000	9,803
Total Receivables	<u>603,017</u>	<u>400,337</u>	<u>639,892</u>
Fixed Assets / Operating Lease	66,999	56,262	24,089
Other Assets	109,363	114,791	40,570
	<u>176,362</u>	<u>171,053</u>	<u>64,659</u>
Total Assets	<u>\$ 5,341,333</u>	<u>\$ 4,231,365</u>	<u>\$ 4,292,919</u>
Liabilities and Equity			
Liabilities:			
Deferred Revenue	\$ 625,000	\$ 833,333	\$ 250,000
Commissions Payable	331,568	228,243	371,733
Accrued Liabilities	368,304	398,057	427,518
Operating Lease Liability	57,295	56,262	24,089
Accounts Payable	9,341	97,872	14,564
Total Liabilities	<u>1,391,508</u>	<u>1,613,768</u>	<u>1,087,904</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	2,969,355	1,637,127	2,224,545
Total Members' Equity	<u>3,949,825</u>	<u>2,617,597</u>	<u>3,205,015</u>
Total Liabilities and Members' Equity	<u>\$ 5,341,333</u>	<u>\$ 4,231,365</u>	<u>\$ 4,292,919</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended February 28,		Five Months Ended February 28,	
	2026	2025	2026	2025
Revenue				
Principal Transactions - CAP	\$ 470,881	\$ 315,817	\$ 2,346,114	\$ 1,723,813
Platform Fees	62,500	83,333	375,000	541,667
Placement Fees	-	-	-	100,000
Principal Transactions - FPS	80,125	31,002	686,634	190,251
Advisory and Other Income	4,166	4,466	50,230	67,794
Net Interest Income	6,650	4,867	26,537	19,641
Total Revenue	624,322	439,485	3,484,514	2,643,166
Net Gains (Losses) on Investment Securities				
	13,602	8,713	29,660	5,126
Expenses				
Salaries and Benefits	\$ 115,653	\$ 106,040	\$ 529,655	\$ 516,039
Commissions	354,182	239,999	1,809,201	1,386,304
Professional and Outside Services	38,163	38,574	269,526	268,318
Office Occupancy and Administration	7,859	7,455	39,643	36,574
Data Processing	12,883	7,046	46,046	40,079
Memberships and Registrations	3,036	20,929	14,960	40,076
Travel and Incidentals	-	2,169	6,948	2,439
Insurance and Other	10,428	10,696	53,384	54,988
Total Expenses	542,204	432,908	2,769,363	2,344,817
Net Income/(Loss)	\$ 95,721	\$ 15,290	\$ 744,810	\$ 303,474