

**CU Investment Solutions LLC**  
**Statements of Financial Condition**

	March 31,		September 30,
	2026	2025	2025
	<i>(unaudited)</i>	<i>(unaudited)</i>	<i>(audited)</i>
<b>Assets</b>			
Cash	\$ 806,185	\$ 1,215,743	\$ 1,000,004
Investments, at fair value	3,799,297	2,405,582	2,588,364
Receivables:			
Commissions	1,115,567	535,867	620,389
Advisory Fees	0	8,932	4,466
Accrued Interest	11,654	5,203	5,234
Other	312	0	9,803
Total Receivables	<u>1,127,533</u>	<u>550,002</u>	<u>639,892</u>
Fixed Assets / Operating Lease	62,095	51,769	24,089
Other Assets	78,757	80,933	40,570
	<u>140,851</u>	<u>132,703</u>	<u>64,659</u>
<b>Total Assets</b>	<u>\$ 5,873,866</u>	<u>\$ 4,304,030</u>	<u>\$ 4,292,919</u>
<b>Liabilities and Equity</b>			
Liabilities:			
Deferred Revenue	\$ 562,500	\$ 750,000	\$ 250,000
Commissions Payable	691,516	342,283	371,733
Accrued Liabilities	412,614	433,802	427,518
Operating Lease Liability	52,704	51,769	24,089
Accounts Payable	16,023	17,385	14,564
Total Liabilities	<u>1,735,357</u>	<u>1,595,239</u>	<u>1,087,904</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	3,158,039	1,728,321	2,224,545
Total Members' Equity	<u>4,138,509</u>	<u>2,708,791</u>	<u>3,205,015</u>
<b>Total Liabilities and Members' Equity</b>	<u>\$ 5,873,866</u>	<u>\$ 4,304,030</u>	<u>\$ 4,292,919</u>

**CU Investment Solutions LLC**  
**Statement of Income (unaudited)**

	One Month Ended		Six Months Ended	
	March 31,		March 31,	
	2026	2025	2026	2025
<b>Revenue</b>				
Principal Transactions - CAP	\$ 967,826	\$ 480,229	\$ 3,313,939	\$ 2,204,041
Platform Fees	62,500	83,333	437,500	625,000
Placement Fees	-	-	-	100,000
Principal Transactions - FPS	79,626	48,640	766,260	238,891
Advisory and Other Income	-	4,466	50,230	72,260
Net Interest Income	7,584	4,828	34,120	24,470
<b>Total Revenue</b>	<b>1,117,535</b>	<b>621,496</b>	<b>4,602,049</b>	<b>3,264,662</b>
Net Gains (Losses) on Investment Securities	(15,731)	6,753	13,928	11,879
<b>Expenses</b>				
Salaries and Benefits	\$ 114,487	\$ 106,065	\$ 644,142	\$ 622,104
Commissions	725,236	360,385	2,534,437	1,746,689
Professional and Outside Services	41,637	43,858	311,163	312,175
Office Occupancy and Administration	8,220	7,334	47,863	43,908
Data Processing	11,079	1,631	57,126	41,711
Memberships and Registrations	1,797	7,087	16,757	47,163
Travel and Incidentals	235	-	7,183	2,439
Insurance and Other	10,428	10,696	63,812	65,683
<b>Total Expenses</b>	<b>913,120</b>	<b>537,056</b>	<b>3,682,483</b>	<b>2,881,873</b>
<b>Net Income/(Loss)</b>	<b>\$ 188,684</b>	<b>\$ 91,194</b>	<b>\$ 933,495</b>	<b>\$ 394,668</b>