

CU Investment Solutions LLC
Statements of Financial Condition

	April 30,		September 30,
	2026	2025	2025
	<i>(unaudited)</i>	<i>(unaudited)</i>	<i>(audited)</i>
Assets			
Cash	\$ 534,471	\$ 1,100,801	\$ 1,000,004
Investments, at fair value	4,253,724	2,759,268	2,588,364
Receivables:			
Commissions	550,782	643,845	620,389
Advisory Fees	8,400	12,866	4,466
Accrued Interest	13,981	5,897	5,234
Other	312	0	9,803
Total Receivables	<u>573,474</u>	<u>662,608</u>	<u>639,892</u>
Fixed Assets / Operating Lease	57,158	47,241	24,089
Other Assets	72,333	69,759	40,570
	<u>129,491</u>	<u>117,000</u>	<u>64,659</u>
Total Assets	<u>\$ 5,491,161</u>	<u>\$ 4,639,678</u>	<u>\$ 4,292,919</u>
Liabilities and Equity			
Liabilities:			
Deferred Revenue	\$ 500,000	\$ 666,667	\$ 250,000
Commissions Payable	288,137	586,658	371,733
Accrued Liabilities	423,412	457,444	427,518
Operating Lease Liability	48,080	47,241	24,089
Accounts Payable	11,701	14,993	14,564
Total Liabilities	<u>1,271,329</u>	<u>1,773,003</u>	<u>1,087,904</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	3,239,361	1,886,205	2,224,545
Total Members' Equity	<u>4,219,831</u>	<u>2,866,675</u>	<u>3,205,015</u>
Total Liabilities and Members' Equity	<u>\$ 5,491,161</u>	<u>\$ 4,639,678</u>	<u>\$ 4,292,919</u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended		Seven Months Ended	
	April 30,		April 30,	
	2026	2025	2026	2025
Revenue				
Principal Transactions - CAP	\$ 408,271	\$ 592,219	\$ 3,722,210	\$ 2,796,260
Platform Fees	62,500	83,333	500,000	708,333
Placement Fees	-	200,000	-	300,000
Principal Transactions - FPS	99,239	44,701	865,499	283,593
Advisory and Other Income	8,400	12,866	58,630	85,126
Net Interest Income	8,546	5,437	42,667	29,907
Total Revenue	586,957	938,557	5,189,006	4,203,219
Net Gains (Losses) on Investment Securities	679	7,550	14,607	19,429
Expenses				
Salaries and Benefits	\$ 115,705	\$ 106,488	\$ 759,847	\$ 728,592
Commissions	312,209	606,688	2,846,646	2,353,377
Professional and Outside Services	37,309	41,151	348,472	353,326
Office Occupancy and Administration	8,302	8,012	56,165	51,920
Data Processing	12,493	7,033	69,618	48,743
Memberships and Registrations	5,399	6,343	22,156	53,506
Travel and Incidentals	470	1,814	7,653	4,253
Insurance and Other	14,428	10,696	78,240	76,379
Total Expenses	506,314	788,224	4,188,796	3,670,097
Net Income/(Loss)	\$ 81,322	\$ 157,884	\$ 1,014,817	\$ 552,552