

CU Investment Solutions LLC
Statements of Financial Condition

	June 30,		September 30,
	2026	2025	2025
	(unaudited)	(unaudited)	(audited)
Assets			
Cash	\$ 1,112,900	\$ 659,991	\$ 1,000,004
Investments, at fair value	3,752,318	3,066,402	2,588,364
Receivables:			
Commissions	414,961	379,200	620,389
Advisory Fees	0	8,932	4,466
Accrued Interest	21,229	3,401	5,234
Other	15,000	350	9,803
Total Receivables	<u>451,190</u>	<u>391,883</u>	<u>639,892</u>
Fixed Assets / Operating Lease	47,185	38,081	24,089
Other Assets	74,382	59,603	40,570
	<u>121,567</u>	<u>97,684</u>	<u>64,659</u>
Total Assets	<u><u>\$ 5,437,975</u></u>	<u><u>\$ 4,215,960</u></u>	<u><u>\$ 4,292,919</u></u>
Liabilities and Equity			
Liabilities:			
Deferred Revenue	\$ 375,000	\$ 500,000	\$ 250,000
Commissions Payable	269,195	244,067	371,733
Accrued Liabilities	445,250	487,714	427,518
Operating Lease Liability	38,734	38,081	24,089
Accounts Payable	13,442	20,862	14,564
Total Liabilities	<u>1,141,621</u>	<u>1,290,724</u>	<u>1,087,904</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	<u>3,315,884</u>	<u>1,944,766</u>	<u>2,224,545</u>
Total Members' Equity	<u>4,296,354</u>	<u>2,925,236</u>	<u>3,205,015</u>
Total Liabilities and Members' Equity	<u><u>\$ 5,437,975</u></u>	<u><u>\$ 4,215,960</u></u>	<u><u>\$ 4,292,919</u></u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended June 30,		Nine Months Ended June 30,	
	2026	2025	2026	2025
Revenue				
Principal Transactions - CAP	\$ 356,418	\$ 344,267	\$ 4,494,096	\$ 3,461,863
Platform Fees	62,500	83,333	625,000	875,000
Placement Fees	15,000	-	765,000	300,000
Principal Transactions - FPS	26,721	9,909	930,220	362,193
Advisory and Other Income	-	4,466	58,630	94,058
Net Interest Income	9,608	5,874	62,137	41,575
Total Revenue	470,247	447,849	6,935,083	5,134,689
Net Gains (Losses) on Investment Securities	(2,195)	8,431	8,953	25,673
Expenses				
Salaries and Benefits	\$ 122,620	\$ 106,477	\$ 997,059	\$ 942,039
Commissions	281,222	253,300	4,155,951	2,851,572
Professional and Outside Services	47,091	53,450	431,951	453,069
Office Occupancy and Administration	8,565	6,433	71,864	64,763
Data Processing	8,159	7,958	85,161	73,538
Memberships and Registrations	6,623	4,768	36,871	58,961
Travel and Incidentals	-	-	7,855	5,037
Insurance and Other	(22,683)	13,196	65,984	100,271
Total Expenses	451,597	445,581	5,852,696	4,549,249
Net Income/(Loss)	<u>\$ 16,454</u>	<u>\$ 10,699</u>	<u>\$ 1,091,340</u>	<u>\$ 611,113</u>