

CU Investment Solutions LLC
Statements of Financial Condition

	July 31,		September 30,
	2026	2025	2025
	(unaudited)	(unaudited)	(audited)
Assets			
Cash	\$ 632,934	\$ 618,119	\$ 1,000,004
Investments, at fair value	4,246,251	3,065,272	2,588,364
Receivables:			
Commissions	503,981	476,717	620,389
Advisory Fees	0	12,866	4,466
Accrued Interest	22,512	5,523	5,234
Other	0	350	9,803
Total Receivables	<u>526,493</u>	<u>495,456</u>	<u>639,892</u>
Fixed Assets / Operating Lease	42,149	33,448	24,089
Other Assets	<u>41,891</u>	<u>32,197</u>	<u>40,570</u>
	84,040	65,645	64,659
Total Assets	<u><u>\$ 5,489,719</u></u>	<u><u>\$ 4,244,492</u></u>	<u><u>\$ 4,292,919</u></u>
Liabilities and Equity			
Liabilities:			
Deferred Revenue	\$ 312,500	\$ 416,667	\$ 250,000
Commissions Payable	282,252	297,025	371,733
Accrued Liabilities	485,464	474,319	427,518
Operating Lease Liability	34,011	33,448	24,089
Accounts Payable	<u>34,312</u>	<u>15,655</u>	<u>14,564</u>
Total Liabilities	<u>1,148,539</u>	<u>1,237,114</u>	<u>1,087,904</u>
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	<u>3,360,710</u>	<u>2,026,908</u>	<u>2,224,545</u>
Total Members' Equity	<u>4,341,180</u>	<u>3,007,378</u>	<u>3,205,015</u>
Total Liabilities and Members' Equity	<u><u>\$ 5,489,719</u></u>	<u><u>\$ 4,244,492</u></u>	<u><u>\$ 4,292,919</u></u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended July 31,		Ten Months Ended July 31,	
	2026	2025	2026	2025
Revenue				
Principal Transactions - CAP	\$ 380,139	\$ 420,905	\$ 4,874,234	\$ 3,882,768
Platform Fees	62,500	83,333	687,500	958,333
Placement Fees	-	-	765,000	300,000
Principal Transactions - FPS	105,066	2,561	1,035,286	364,754
Advisory and Other Income	-	12,866	58,630	106,924
Net Interest Income	10,013	6,284	72,151	47,859
Total Revenue	557,718	525,950	7,492,801	5,660,639
Net Gains (Losses) on Investment Securities	(5,044)	(34)	3,908	25,639
Expenses				
Salaries and Benefits	\$ 112,352	\$ 106,030	\$ 1,109,411	\$ 1,048,069
Commissions	306,565	306,879	4,462,516	3,158,451
Professional and Outside Services	55,946	36,626	487,897	489,695
Office Occupancy and Administration	8,409	9,075	80,274	73,839
Data Processing	7,806	7,333	92,967	80,871
Memberships and Registrations	8,795	6,526	45,666	65,487
Travel and Incidentals	1,548	219	9,403	5,256
Insurance and Other	6,428	(28,915)	72,412	71,355
Total Expenses	507,849	443,773	6,360,545	4,993,022
Net Income/(Loss)	<u>\$ 44,825</u>	<u>\$ 82,142</u>	<u>\$ 1,136,165</u>	<u>\$ 693,255</u>