

CU Investment Solutions LLC
Statements of Financial Condition

	August 31,		September 30,
	2026	2025	2025
	(unaudited)	(unaudited)	(audited)
Assets			
Cash	\$ 597,529	\$ 574,497	\$ 1,000,004
Investments, at fair value	4,249,832	3,080,258	2,588,364
Receivables:			
Commissions	358,170	518,282	620,389
Advisory Fees	0	4,466	4,466
Accrued Interest	21,790	7,723	5,234
Other	0	350	9,803
Total Receivables	<u>379,961</u>	<u>530,821</u>	<u>639,892</u>
Fixed Assets / Operating Lease	37,080	28,779	24,089
Other Assets	<u>85,657</u>	<u>73,437</u>	<u>40,570</u>
	122,737	102,216	64,659
Total Assets	<u><u>\$ 5,350,059</u></u>	<u><u>\$ 4,287,792</u></u>	<u><u>\$ 4,292,919</u></u>
Liabilities and Equity			
Liabilities:			
Deferred Revenue	\$ 250,000	\$ 333,333	\$ 250,000
Commissions Payable	170,883	344,413	371,733
Accrued Liabilities	504,035	493,883	427,518
Operating Lease Liability	29,254	28,779	24,089
Accounts Payable	<u>16,966</u>	<u>18,308</u>	<u>14,564</u>
Total Liabilities	971,138	1,218,716	1,087,904
Members' Equity:			
Members' Shares	900,000	900,000	900,000
Member Paid-In Capital	80,470	80,470	80,470
Retained Earnings	<u>3,398,451</u>	<u>2,088,606</u>	<u>2,224,545</u>
Total Members' Equity	<u>4,378,921</u>	<u>3,069,076</u>	<u>3,205,015</u>
Total Liabilities and Members' Equity	<u><u>\$ 5,350,059</u></u>	<u><u>\$ 4,287,792</u></u>	<u><u>\$ 4,292,919</u></u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended August 31,		Eleven Months Ended August 31,	
	2026	2025	2026	2025
Revenue				
Principal Transactions - CAP	\$ 238,084	\$ 483,397	\$ 5,112,318	\$ 4,366,165
Platform Fees	62,500	83,333	750,000	1,041,667
Placement Fees	-	-	765,000	300,000
Principal Transactions - FPS	101,016	78	1,136,302	364,833
Advisory and Other Income	-	4,466	58,630	111,390
Net Interest Income	11,278	6,842	83,429	54,701
Total Revenue	412,878	578,116	7,905,679	6,238,755
Net Gains (Losses) on Investment Securities	926	10,602	4,834	36,241
Expenses				
Salaries and Benefits	\$ 115,671	\$ 104,402	\$ 1,225,082	\$ 1,152,472
Commissions	191,392	355,301	4,653,908	3,513,752
Professional and Outside Services	34,199	38,010	522,096	527,705
Office Occupancy and Administration	7,862	7,934	88,135	81,773
Data Processing	8,274	7,065	101,241	87,935
Memberships and Registrations	8,479	2,846	54,146	68,333
Travel and Incidentals	3,757	4,666	13,160	9,922
Insurance and Other	6,428	6,796	78,840	78,151
Total Expenses	376,062	527,021	6,736,607	5,520,043
Net Income/(Loss)	<u>\$ 37,742</u>	<u>\$ 61,698</u>	<u>\$ 1,173,906</u>	<u>\$ 754,953</u>