

CU Investment Solutions LLC
Statements of Financial Condition

	March 31		September 30,
	2019 <i>(unaudited)</i>	2018 <i>(unaudited)</i>	2018 <i>(audited)</i>
Assets			
Cash	\$ 480,509	\$ 431,810	\$ 505,491
Investments, at fair market value	986,189	1,221,464	1,226,256
Receivables:			
Commissions	93,607	39,161	8,305
Advisory fees	8,632	8,632	8,632
Other	-	2,159	3,794
Accrued interest	640	768	724
Total receivables	102,879	50,720	21,455
Other assets	58,290	54,727	47,471
Total Assets	\$ 1,627,867	\$ 1,758,721	\$ 1,800,672
Liabilities and Equity			
Liabilities:			
Commissions payable	\$ 208,090	\$ 174,380	\$ 52,053
Deferred revenue	125,000	-	375,000
Accounts payable	3,343	4,941	34,376
Accrued liabilities	219,122	189,059	218,656
Total Liabilities	555,555	368,380	680,085
Members' Equity:			
Members' shares	800,000	800,000	800,000
Retained earnings*	272,312	590,341	320,586
Total Members' Equity	1,072,312	1,390,341	1,120,586
Total Liabilities and Members' Equity	\$ 1,627,867	\$ 1,758,721	\$ 1,800,672

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended March 31		Six Months Ended March 31	
	2019	2018	2019	2018
Revenue				
Corporate agent program	\$ 295,402	\$ 254,376	\$ 812,641	\$ 841,090
Financial product sales	101,701	72,396	284,946	311,005
Advisory and other income	50,299	10,351	357,588	97,881
Net interest income	1,264	1,512	8,289	9,218
Total Revenue	448,666	338,634	1,463,463	1,259,194
Net gains (losses) on investment securities	658	(1,070)	6,916	(7,501)
Expenses				
Salaries and benefits	\$ 89,297	\$ 92,733	\$ 573,846	\$ 548,383
Commissions	229,435	189,718	634,097	630,521
Professional and outside services	24,260	24,285	167,252	155,009
Office occupancy and administration	7,648	8,226	45,917	46,760
Data processing	5,071	4,910	29,988	29,663
Memberships and registrations	2,075	1,632	14,712	13,135
Travel and incidentals	1,889	972	11,503	18,984
Insurance and other	7,195	8,100	41,339	49,191
Total Expenses	366,868	330,576	1,518,653	1,491,645
Net Income/(Loss)	\$ 82,456	\$ 6,988	\$ (48,275)	\$ (239,953)