CU Investment Solutions LLC
Statements of Financial Condition

	April 30		September 30,
	2019	**2018**	**2018**
	(unaudited)	*(unaudited)*	*(audited)*
Assets			
Cash	$ 524,797	$ 466,391	$ 505,491
Investments, at fair market value	987,372	1,222,118	1,226,256
Receivables:			
Commissions	20,769	19,575	8,305
Advisory fees	17,032	17,031	8,632
Other	5,045	2,309	3,794
Accrued interest	1,416	1,535	724
Total receivables	44,262	40,450	21,455
Other assets	48,735	51,287	47,471
Total Assets	$ 1,605,166	$ 1,780,246	$ 1,800,672
Liabilities and Equity			
Liabilities:			
Commissions payable	$ 181,061	$ 141,673	$ 52,053
Deferred revenue	83,333	-	375,000
Accounts payable	2,702	7,702	34,376
Accrued liabilities	228,098	208,887	218,656
Total Liabilities	495,194	358,262	680,085
Members' Equity:			
Members' shares	800,000	800,000	800,000
Retained earnings*	309,972	621,984	320,586
Total Members' Equity	1,109,972	1,421,984	1,120,586
Total Liabilities and Members' Equity	$ 1,605,166	$ 1,780,246	$ 1,800,672

CU Investment Solutions LLC
Statement of Income (unaudited)

		One Month Ended April 30				Seven Months Ended April 30	
		2019		*2018*		*2019*	*2018*
Revenue							
Corporate agent program	$	247,889	$	206,118	$	1,060,530	$ 1,047,208
Financial product sales		58,075		108,147		343,021	419,152
Advisory and other income		63,744		19,341		421,331	117,221
Net interest income		1,203		1,469		9,492	10,687
Total Revenue		370,911		335,075		1,834,374	1,594,268
Net gains (losses) on investment securities		1,080		531		7,996	(6,970)
Expenses							
Salaries and benefits	$	89,295	$	92,572	$	663,141	$ 640,955
Commissions		194,731		161,736		828,829	792,257
Professional and outside services		26,397		24,048		193,648	179,057
Office occupancy and administration		8,323		7,639		54,241	54,399
Data processing		5,511		5,843		35,498	35,507
Memberships and registrations		2,806		3,604		17,518	16,739
Travel and incidentals		74		421		11,577	19,405
Insurance and other		7,195		8,100		48,533	57,291
Total Expenses		334,332		303,963		1,852,985	1,795,608
Net Income/(Loss)	$	37,660	$	31,643	$	(10,615)	$ (208,310)