

CU Investment Solutions LLC
Statements of Financial Condition

	June 30		September 30,
	2019 <i>(unaudited)</i>	2018 <i>(unaudited)</i>	2018 <i>(audited)</i>
Assets			
Cash	\$ 528,435	\$ 212,042	\$ 505,491
Investments, at fair market value	989,621	1,222,294	1,226,256
Receivables:			
Commissions	30,520	5,586	8,305
Advisory fees	8,632	8,632	8,632
Other	1,138	-	3,794
Accrued interest	3,011	3,131	724
Total receivables	<u>43,302</u>	<u>17,349</u>	<u>21,455</u>
Other assets	<u>44,370</u>	<u>50,412</u>	<u>47,471</u>
Total Assets	<u><u>\$ 1,605,728</u></u>	<u><u>\$ 1,502,097</u></u>	<u><u>\$ 1,800,672</u></u>
Liabilities and Equity			
Liabilities:			
Commissions payable	\$ 115,615	\$ 65,920	\$ 52,053
Deferred revenue	-	-	375,000
Accounts payable	6,876	13,345	34,376
Accrued liabilities	<u>256,850</u>	<u>183,295</u>	<u>218,656</u>
Total Liabilities	379,340	262,560	680,085
Members' Equity:			
Members' shares	800,000	800,000	800,000
Retained earnings*	<u>426,388</u>	<u>439,537</u>	<u>320,586</u>
Total Members' Equity	<u>1,226,388</u>	<u>1,239,537</u>	<u>1,120,586</u>
Total Liabilities and Members' Equity	<u><u>\$ 1,605,728</u></u>	<u><u>\$ 1,502,097</u></u>	<u><u>\$ 1,800,672</u></u>

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended June 30		Nine Months Ended June 30	
	2019	2018	2019	2018
Revenue				
Corporate agent program	\$ 171,440	\$ 92,699	\$ 1,431,008	\$ 1,255,808
Financial product sales	98,120	2,953	546,799	440,863
Advisory and other income	51,437	8,632	526,140	142,427
Net interest income	1,196	579	11,929	12,741
Total Revenue	322,192	104,864	2,515,876	1,851,839
Net gains (losses) on investment securities	1,180	342	10,037	(7,044)
Expenses				
Salaries and benefits	\$ 84,937	\$ 89,366	\$ 836,280	\$ 820,710
Commissions	133,981	67,860	1,127,482	947,236
Professional and outside services	26,592	26,799	244,193	230,512
Office occupancy and administration	7,729	7,811	69,601	69,759
Data processing	4,991	4,934	45,215	45,375
Memberships and registrations	3,132	2,372	25,229	24,066
Travel and incidentals	478	2,396	12,055	21,905
Insurance and other	4,279	8,100	60,056	75,990
Total Expenses	266,119	209,638	2,420,112	2,235,552
Net Income/(Loss)	\$ 57,253	\$ (104,432)	\$ 105,801	\$ (390,757)